

Navi Nifty REITs & Realty Index Fund -Application Form



Application Form – Navi Nifty REITs & Realty Index Fund

(An open-ended scheme replicating / tracking Nifty REITs & Realty Index)

This product is suitable for investors who are seeking*

Navi Nifty REITs & Realty Index Fund (An open-ended scheme replicating / tracking Nifty REITs & Realty Index) - Capital appreciation over long time. - Equity and equity related securities covered by Nifty REITs & Realty Index - Return that corresponds to the performance of Nifty REITs & Realty Index subject to the tracking error.	Scheme Riskometer The risk of the scheme/benchmark is Very High	Benchmark Risk-o-meter Nifty REITs & Realty Index The risk of the scheme/benchmark is Very High
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Application No. _____
NFO Dates: NFO Opens: 1st September 2026, NFO Closes 10th September 2026
Scheme re-opens for continuous sale and repurchase within 5 business days of allotment of units.

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

The above product labelling assigned during the New Fund Offer is based on internal assessment of the Scheme Characteristics or model portfolio and the same may vary post NFO when actual investments are made

Investors must read the Scheme Information Document and Key Information Memorandum, Instructions and Product Labelling before completing this Form. Tick (✓) whichever is applicable, strike out whichever is not required. All fields marked with *are mandatory

1	DISTRIBUTOR / ARN CODE / RIA	SUB BROKER ARN CODE	EUIN*	SUB-BROKER CODE / AGENT CODE	RM CODE	DATE & TIME OF RECEIPT
						FOR OFFICE USE ONLY
*I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or not with standing the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction".						
Sole /1st Applicant/Guardian/Authorised Signatory/POA Holder		2nd Applicant/Authorised Signatory/PGA Holder		3rd Applicant/Authorised Signatory/POA Holder		

2	EXISTING INVESTOR INFORMATION (If you have existing folio please fill in sections 3,6,9,11,12 and 17)					
Unit Holding Options		☐ Demat Mode	☐ Physical Mode	Folio Number		

3	DEMAT ACCOUNT DETAILS (Please ensure that the sequence of names as mentioned in the application form matches with that, of the account held in depository participant. Demat Account details are if demat mode is opted above.)					
☐ NSDL ☐ CDSL		Depository Participant Name _____ DP ID Number _____ Beneficiary Account Number _____		Enclosures ☐ Client Master List Delivery ☐ Instruction Slip ☐ Transaction Cum Holding Statement		

4	NEW INVESTOR INFORMATION (To be filled in Block Letters please leave one box blank between two words)					
NAME OF FIRST/SOLE APPLICANT		☐ Mr.	☐ Ms.	☐ M/s.		
PAN/PEKRN #		☐ KYC Proof #		Date of Birth/Date of Incorporation	D	D
CKYC Id					M	M
Aadhaar No		By sharing the Aadhaar number I provide my consent for sharing / disclosing of my Aadhaar number(s) and their Registrar and TransferAgent (RTA) for the purpose of updating the same in my / our folios.				
Father's Name/Name of Guardian (in case of Minor) / Contact Person (in case of non individual applicant)		☐ Mr.	☐ Ms.			
PAN/PEKRN #		☐ KYC Proof #		Date of Birth/Date of Incorporation	D	D
CKYC Id					M	M
Aadhaar No		By sharing the Aadhaar number I provide my consent for sharing / disclosing of my Aadhaar number(s) and their Registrar and TransferAgent (RTA) for the purpose of updating the same in my / our folios.				
Mailing Address of First/Sole Applicant (PO Box address is not sufficient)						
City		State		Country		Pin Code
Overseas Address (Mandatory in case of NRI/FIL PO Box address is not sufficient. Investors residing overseas and with PO Box address please provide your Indian address) *All Non Individual Investors have to mandatory fill FATCA/CRS Declaration form (for non-individuals/legal entity)*						
Overseas Address						
						Country

Please attach proof. Refer instructions page point II - APPLICANT INFORMATION

Acknowledgment Slip (To be filled in by the investor)		Application No. _____
Received from Mr./Ms./M/s. An application for Scheme: Navi Nifty REITs & Realty Index Fund Cheque/DD No. : _____ Dated: Drawn on _____ Amount (Rs.) _____ Bank and Branch : _____ Please note : All Purchases are subject to realization of Cheques /DD.		Collection Centre's Stamp & Receipt Date and Time

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Website: <https://navi.com/mutual-fund>

Toll free : 18002032131 Non Toll Free: +91 9535999572

mf@navi.com

Communication in connection with this application should be addressed to the Registrar name - Computer Age Management Services Limited (CAMS) Registrar Address - Rayala Tower-1, 158 Anna Salai, Chennai - 600 002

8 * FATCA INFORMATION/ FOREIGN TAX LAWS (For Individual including Sole Proprietor) (For Non-individual, mandatory to fill up FATCA CRS form) (Refer instruction)

Place of Birth		Country of Birth	
Nationality ☐ Indian ☐ U.S. ☐ Others (Please specify)		Tax Residence Address (for KYC Address) ☐ Residential ☐ Registered ☐ Others ☐ Business	
Are you a tax resident (i.e. are you assessed for Tax) in any other country outside India? ☐ Yes ☐ No			
If 'No' please proceed for the signature of declaration			
If 'YES', please fill for ALL countries (other than India) in which you are Resident for tax purposes Resident i.e., where you are a citizen / Resident / Green Card Holder / Tax Resident in the respective countries			
Applicant Details	Country of Tax Residency	Tax Identification Number or Functional Equivalent (Mandatory)	Identification Type (Tin or other, please specify)
Applicant 1			
Applicant 2			
Applicant 3			

to include all countries other than India, where investor is Citizen / Resident / Green Card Holder / Tax Resident in those respective countries especially of USA

** select appropriate option below

☐ I am not entitled to have TIN because of the following reasons and hereby submit the relevant documentary proof (self-attested) as indicated. [Tick relevant documentary proof and indicate the document type and number in the above grid]

☐ Student (Student Visa).
☐ Dependent parents (Appropriate Visa).
☐ Diplomat (Diplomat Visa).
☐ Mariner/Sea farer (Continuous Discharge Certificate).
☐ Sports person/Professional (Appropriate Visa).
☐ Recently shifted residence (Appropriate Visa).
☐ Temporary Visit (Temporary work visa, Teacher, Tourist, or other Visa).
 Other, please specify _____ (Appropriate Visa/ document).
 Residing Country does not issue TIN and hereby submit the relevant documentary proof as indicated duly self-attested. [Tick relevant documentary proof and indicate the document type and number in the above grid]

☐ Social Security Number
☐ National Insurance Number
☐ Citizen Or Personal Identification Code or Number
☐ Resident Registration Number

In case of U.S. persons, where Nationality or Country of Birth is U.S. but tax residency is of other country, any one of the following documents duly self-attested should be submitted:

- Non-US passport or any non-US government issued document evidencing nationality or citizenship; AND
- Certified Copy of Certificate of loss of US Nationality/ Reasonable explanation for not obtaining such certificate

Declaration:

I hereby confirm that the information provided here in above is true, correct and complete to the best of my knowledge and belief and that I shall be solely liable and responsible for the information submitted above. I also confirm that I have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same. I also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of the same being effective and also under take to provide any other additional information as may be required any intermediary or by domestic or overseas regulators / tax authorities.

Please attach proof. Refer instructions page point XII - PAN/PEKRN and KYC

	Sole / First Holder (Mr./Ms.)	Second Holder (Mr./Ms.)	Third Holder (Mr./Ms.)
Signature / Thumb Impression			

9 *BANK ACCOUNT DETAILS (Please attach copy of cancelled cheque) For registering Multiple Bank Accounts please fill up 'Registration of Multiple Bank Account* Form

Name of the Bank :		Branch:	
Account Type (Please ☒) ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR	Account Number :		
Branch Address :	City :	PIN:	
IFSC Code :	MICR Code:		

AMC reserves the right use any mode of payment deemed appropriate. I/We understand that AMC shall not be responsible if transaction through DC/RTGS/NEFT could not be carried out because of incomplete or incorrect information

10 *INVESTMENT DETAILS I/We would like to invest in the following scheme of Navi Mutual Fund Scheme :

Scheme : Navi Nifty REITs & Realty Index Fund	Plan	☐ Regular	☐ Direct
Option ☐ Growth			

*In case of any ambiguity I incomplete information, the default plan / option / sub-option will be applicable as per the scheme's Key Information Memorandum. Scheme Information Document & Statement of Additional Information.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

11 *PAYMENT DETAILS											
Mode of Payment ☐ Cheque ☐ DD ☐ Fund Transfer ☐ Others											
Cheque/DD No								Date			
								D D M M Y Y Y Y			
Gross Amount (Rs)				DD Charges (Rs)				Net Amount (Rs)			
Drawn on Bank & Branch				Account Type ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR							

12 SYSTEMATIC INVESTMENT PLAN (SIP) PAYMENT TYPES											
☐ SIP through Auto Debit (ECS) (Please fill up enclosed SIP Auto Debit (ECS) Form & submit with this form)											

13 HOW DO YOU WISH TO RECEIVE THE DOCUMENT(S) (Please ☒)											
I/We wish to "Opt in" for receiving the following in Physical Copy						I/We wish to receive the Account Statement in			I/We wish to receive the CAS Statement		
☐ Annual Reports /Abridged Summary ☐ Account Statement						☐ English (Default option)			☐ E-mail (Default option)		

14 DOCUMENTS ENCLOSED (Please ☒)											
☐ Resolution/Authorisation to Invest ☐ List of Authorized Signatories with Specimen Signatures ☐ Memorandum & Articles of Association ☐ Trust Deed ☐ Bye-laws ☐ Partnership Deed ☐ Overseas Auditor Certificate ☐ Notarised POA ☐ Copy of cancelled cheque ☐ PAN Card ☐ KYC ☐ PIO Card ☐ Foreign Inward Remittance Certificate ☐ Special Product Form (SIP / STP / SWP /AEP)											

15 DECLARATION AND SIGNATURES											
I/We have read and understood the contents of the Statement of Additional Information and Scheme Information Document of the Scheme (s). I/We hereby apply for units of the scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme and to other statutory requirements of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objective, investment pattern and risk factors applicable to Plan/Option under the Scheme (s). I/We agree that in case of my/our investment in the scheme is equal to or more than 25% of the corpus of the scheme, then Navi AMC LTD has full right to refund the excess to me/us to bring my/our investment below 25%. I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making this investments. I/We undertake that these investments are on my/our own account and in event Know Your Customer process is not completed by me/us to the satisfaction of the Mutual Fund, I/We hereby authorise the Mutual Fund to redeem the funds invested in the scheme, in favour of the applicant at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the law. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable law enacted by the Government of India or any Statutory Authority. I/We hereby declare that the particulars above are correct. I/We hereby, further agree that the Fund can directly credit all the dividend and redemption amount to my bank details given above. The ARN holder has disclosed to me/us all the commission (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. For NRIs : I/ We confirm that I am/We are Non-resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from my/our Non-resident External/Ordinary Account/FCNFI/NRSR Account. I/We hereby provide my/our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (iii) updating my/our Aadhaar number(s) in accordance with Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I/We hereby provide my/our consent of my Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my/our folios.											
Sole /1st Applicant/Guardian/Authorised Signatory/POA Holder				2nd Applicant/Authorised Signatory/PGA Holder				3rd Applicant/Authorised Signatory/POA Holder			

16 CHECKLIST (Please submit the following documents with application wherever applicable). All documents should be original/true copies certified by a Director/Trustee/Company Secretary/Authorised Signatory/Notary Public.								
Documents	Individual	Companies	Societies	Partnership Firm	Investment through POA	Trust	NRI	FII's
Resolution/Authorisation to invest		✓	✓	✓		✓		✓
List of Authorised Signatories with Specimen Signatures		✓	✓	✓	✓	✓		✓
Memorandum & Articles of Associations		✓						
Trust Deed						✓		
Bye-laws								
Partnership Deed				✓				
Notarised POA					✓			
PAN/PEKRN Proof	✓	✓	✓	✓	✓	✓	✓	✓
KYC in case of Investment of any Amount	✓	✓	✓	✓	✓	✓	✓	✓
Foreign Inward Remittance Certificate							✓	✓
Copy of Cancelled Cheque	✓	✓	✓	✓	✓	✓	✓	✓
FATCA & CRS Declaration		✓	✓	✓	✓	✓		✓

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Name of the Mutual Fund	
Folio No(s)	
Investor Name	

I/We wish to make a nomination. [As per details given below]

Nomination Details

I/We wish to make a nomination and do hereby nominate the following person(s) in the above specified folio(s) who shall receive all the assets held in my / our folio / account in the event of my / our death. This nomination shall supersede any prior nomination made by us/me if any.

1. Mandatory Details

S. No.	Details	1st Nominee	2nd Nominee	3rd Nominee
1	Name of the nominee			
2	Relationship with the Applicant			
3	Date of Birth (to be provided in case of nominee is minor)			

2. want the following nomination details to be printed in the account / holding statements (tick one of the boxes below):

☐ Name of the Nominee(s)

☐ Whether nomination given: Yes / No (not name of the nominee)

3. A. Optional details of nomination:

S. No.	Information	1st Nominee	2nd Nominee	3rd Nominee
a)	% Share of nominee** (equal share if % is not specified)			
b)	Mobile of nominee*** (Please note that the DP / MFRTA will be able to reach out to your nominee if you provide the contact details).			
c)	Email ID of nominee*** (Please note that the DP / MFRTA will be able to reach out to your nominee if you provide the contact details).			
d)	Specify Personal identifier document ● Aadhaar (last four digit), PAN, ● Driving Licence, Passport ***			
e)	Guardian Name (applicable if the Nominee is minor)			

**Any odd lot after division shall be transferred to the first nominee mentioned in the form.
*** The aforesaid details shall be optionally provided for the Guardian, in case of nominee is a minor.

Format for providing Nomination for Demat Account and MF Folios
Mandatory for MF Folios with Single Holding only (effective from September 01, 2026)
Please read the instructions carefully before filling up this form

This nomination shall supersede any prior nomination made by the account holder(s), if any

Signature:

Name(s) of holder(s)	Signature(s) of holder****
Sole / First Holder (Mr./Ms.)	
Second Holder (Mr./Ms.)	
Third Holder (Mr./Ms.)	

****If nomination is submitted:

- Online:** validate through Digital Signature Certificate or Aadhaar-based e-sign or by using any other e-sign facility recognized under Information Technology Act, 2000 or two factor authentication (2FA) in which one of the factors shall be a One-Time Password sent to the registered mobile number and email address.
- Physical / offline:** wet signature (signature of witness shall not be required). However, if thumb impression (instead of wet signature) is affixed, then the same shall be witnessed by two persons and details of such witnesses shall be duly provided in this form.

Name(s) of holder(s)	Thumb Impression	Witness Name & Address	Witness Signature
Sole / First Holder (Mr./Ms.)			
Second Holder (Mr./Ms.)			
Third Holder (Mr./Ms.)			

Note:

- Investors can provide changes or cancel nominations any number of times.
- The signature of all the joint holders shall be obtained for providing / changing nomination.
- This nomination shall supersede any prior nomination made by the investor(s), if any.
- Investors can change nomination any number of times.
- Investors have the right to receive acknowledgement of the nomination form for each instance of nomination / subsequent change.

Name of the Mutual Fund	
Mutual Fund Folio Number / Application Number	
Investor Name	

I hereby confirm that I do not wish to appoint any nominee(s) to my mutual fund folio at this point of time.

I understand that –

- i. the nomination helps to quickly identify the person for transfer of securities and helps in faster and smoother transmission of my securities to my legal heir(s) after my demise.
- ii. in the absence of a nomination, my legal heir(s) may require the submission of certain additional legal or court-issued documents which may delay the process of transmission of securities to my legal heir(s).
- iii. if no claim is made on the account / folio for a prolonged period after my demise, the holdings may be treated as unclaimed assets, and they may be transferred to Investor Education and protection Fund Authority (IEPF) in accordance with the applicable regulatory framework.
- iv. I confirm that I have understood the above implications and that my decision to opt out of nomination is voluntary.

I confirm that I have understood the above implications and that my decision to opt out of nomination is voluntary.

Signature

Name of Sole / First Holder	Signature of Sole / First Holder
(Mr./Ms.)	

If wet signature is made, signature of witness shall not be required. However, if thumb impression (instead of wet signature) is affixed, then the same shall be witnessed by two persons and details of such witnesses shall be duly provided in this form.

Name of Sole / First Holder	Thumb Impression	Witness Name & Address	Witness Signature
(Mr./Ms.)			

Navi Nifty REITs & Realty Index Fund - Application Form



I. GENERAL INFORMATION

- Please read the Statement of Additional Information, Scheme Information Document (SID) and Key Information Memorandum (KIM) carefully before investing. All applicants are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering payment.
- Application Form should be filled legibly in English in BLOCK LETTERS so as to avoid errors in your application processing.
- Correction/Cancellation of any of the mandatory information should be counter signed by the investor. Please strike out any section which is not applicable. Refer to the checklist to ensure that the requisite details and documents are provided in order to avoid unnecessary delays and/or rejection of your application.
- The Application No./Folio No., the Scheme name and the name of the applicant should be mentioned on the reverse side of the instrument that accompanies the application.
- Application Form incomplete in any respect or not accompanied by a Cheque/Demand Draft are liable to be rejected and the money paid will be refunded without interest.
- All communications and payments shall be made to the First Applicant only irrespective of the holding basis.
- The right to accept or reject any application in the whole or in part lies with the Navi AMC Limited Navi Trustee Limited Navi Mutual Fund.
- The investors who wish to avail Systematic Investment Plan (SIP) facility must use separate Common Transaction Slips available in any of the Navi Mutual Fund - Customer Service Centres.
- The application, complete in all respects, along with the cheque/fund transfer instructions must be submitted to the nearest designated Investor Service Centre/Collection Centre.
- Investors are required to clearly indicate the plans/options in the application form of the same. Investor may note that following shall be applicable for default plan.

Scenario	Broker code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct Plan	Direct Plan
3	Not mentioned	Regular Plan	Direct Plan
4	Mentioned	Direct Plan	Direct Plan
5	Direct	Not mentioned	Direct Plan
6	Direct	Regular Plan	Direct Plan
7	Mentioned	Regular Plan	Regular Plan
8	Mentioned	Not mentioned	Regular Plan

In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. If the EUIIN is invalid/missing, the transactions shall be processed in Regular plan, and the distributor/investor shall be given 30 day period from the date of the transaction for remediation of the EUIIN. In such cases, the investor to be advised to either provide a different EUIIN linked to the ARN who would be engaged in servicing the investor OR switch to Direct Plan. The commission shall not be paid to the ARN holder if the Switch transaction does not happen, or fresh EUIIN is not provided within 30 days. The commission may be paid if the fresh EUIIN is provided by client within 30 days.

- If the Name given in the application does not match the name as appearing on the PAN Card /Aadhaar card, authentication, application may be liable to get rejected or further transactions may be liable to get rejected.

II. APPLICANT INFORMATION

- Name should be given in full without any abbreviations. Preferably write exactly as it appears in your Bank Account or as it appears in the incorporation document as the case may be.
- Guardian name and date of birth of the Minor is mandatory for investment on behalf of Minor applicant. Age proof of minor is mandatory. Upon attaining majority a minor has to write to the fund giving his specimen signature duly authenticated by his banker as well as his new bank mandate, PAN details, KYC in order to facilitate the Fund to update its records and permit the erstwhile minor to operate the account in his own right.
- Name of the Contact Person, Email and Telephone no. should be mentioned in case of investments by Company, Body Corporate, Trust, Society, FII and other non-individual applicants.
- Applicant should specify the mode of holding. In case it is not mentioned, the default will be "anyone or survivor."
- In case of NRI investment, complete postal address should be stated. P.O. Box address alone is not sufficient. NRIs/FIIs should necessarily state their overseas address failing which application may be rejected. In addition, Indian address should be stated for correspondence.
- To help us service you better please provide email Id and mobile number.
- In case of Minor Investor proper third party declaration should be submitted by donor.

III. BANK DETAILS

Applicants are requested to mention the bank account, since the same is mandatory as per the directives issued by SEBI. Application without this information will be deemed to be incomplete and are liable for rejection. Investor should submit a copy of a cancelled cheque to verify details.

IV. INVESTMENT DETAILS

Investors should indicate the Plan/Option for which the application is made. In case Investors wish to choose both the Options, separate Application form will have to be filled. In case applications are received where Plan/Option/ Sub-option frequency is not selected the default options will be considered.

V. PAYMENTS

- The Fund Name is Navi Nifty REITs & Realty Index Fund
- Resident Investors may make payment by cheque payable locally in the city where the application form is submitted at AMC/CAMS.
- The cheque should be drawn on any bank which is situated at and is a member/ sub member of the bankers clearing house. Cheque drawn on the bank not participating in the clearing house will not be accepted.
- The following mode of payments are not valid and applications accompanied by such payments are liable to be rejected: i) Money Order/Post Order, ii) Postdated Cheques iii) Third Party Cheques without third party declaration.
- Returned cheques will not be presented again for collection and the accompanying application will be rejected.
- In case of payment through electronic mode (RTGS/NEFT or Transfer Letter), contact the nearest AMC/ CAMS ISC for the Bank Account Number to which the purchase/additional purchase amount is to be credited also while submission of a purchase form please provide the bank acknowledgement copy along with purchase application.
- NRI /FII's Repatriation basis: Payments by NRIs/FIIs may be made by way of cheques drawn on nonresident external accounts payable at par and payable at the cities where the Investor Service Centers are located. Non-Repatriation basis:- NRIs investing on a non repatriable basis may do so by issuing cheques drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Investor Service Centers are located.

VI. NOMINATION DETAILS

Rights, Entitlement and Obligation of the investor and nominee / Instructions

- If you are opening a new demat account / MF folio, you have to provide nomination. Otherwise, you have to follow the specified procedure for Opt-out.
- The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
- You can make nomination or change nominee any number of times without any restriction.
- You are entitled to receive acknowledgement from the AMC / DP for each instance of providing or changing nomination.
- Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
- Nomination is not allowed in a folio where Minor is the unitholder.7. The signatories for this nomination form in joint folios / account, shall be the same as that of your joint MF folio / demat account. i.e. a 'Either or Survivor' Folios / Accounts - any one of the holders can sign. b. 'First holder Folios / Accounts - only First Holder can sign. c. 'Jointly' Folios / Accounts - both holders have to sign
- A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee is to be provided optionally.
- Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family, or a Power of Attorney holder.
- A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
- Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the percentage of allocation/share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees. Any odd lot after division shall be assigned / transferred to the first nominee mentioned in the form.
- In case of demise of the investor and any one of the nominees, the regulated entities shall distribute the assets pro-rata to the remaining nominees
- Every new nomination for a folio/account shall overwrite the existing nomination, if any.
- Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
- Nomination shall stand rescinded upon the transfer of units.
- Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).
- The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
- In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

**Joint Accounts:

Event	Transmission of Account/ Folio to
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion. The surviving holder(s) shall inherit the assets as owners
Demise of all joint holders simultaneously - having nominee	Nominee
Demise of all joint holders simultaneously - not having nominee	Legal heir(s) of the youngest holder

Transmission aspects

- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account / folio.
- In case all your nominees do not claim the assets from the AMC / DP, then the residual unclaimed asset shall continue to be with the AMC in case of MF units and with the concerned Depository in case of Demat account.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.
- Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed on pro-rata basis (as illustrated below) amongst the surviving nominees. Nominee's legal heir cannot claim the assets on behalf of deceased Nominee(s).

% share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'			
Nominee	% Share	Nominee	% Initial Share	% of A's Share to be Apportioned	% Initial Share
A	60%	A	0%	0%	0%
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	100%	-	40%	60%	100%

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Website: <https://navi.com/mutual-fund>

Toll free : 18002032131 Non Toll Free: +91 9535999572

mf@navi.com

Communication in connection with this application should be addressed to the Registrar name - Computer Age Management Services Limited (CAMS) Registrar Address - Rayala Tower-1, 158 Anna Salai, Chennai - 600 002

VII. ACCEPTANCE OF FINANCIAL TRANSACTIONS THROUGH EMAIL IN RESPECT OF NONINDIVIDUAL INVESTOR

For acceptance of financial transactions in respect of non-individual investors routed through email, (NAVI may review that the process is in place at their end/RTA as may be applicable)

1. Obtain from the entity a copy of the board resolution or an authority letter on the entity's letter head, granting appropriate authority to the designated officials of the entity.
The board resolution/ authority letter shall explicitly mention the following:
 - (i) List of approved authorized officials who are authorized to transact on behalf of non-individual investors along with their designation and email IDs.
 - (ii) An undertaking that the instructions for any financial transactions sent by email by the authorized officials shall be binding upon the entity as if it were a written agreement.
2. In case the document is executed electronically with a valid Digital Signature Certificate (DSC) or through Aadhaar based e-signature by the authorized official/s, the same shall be considered as valid and acceptable, and shall be binding on the non-individual investor even if the transaction request is not received from the registered email id. of the authorized official/s. However, in such cases, the domain name of the email ID should be from the same organization's official domain name.
3. In addition to the acceptance of financial transactions via email, scanned copy of duly signed transaction form/request letter bearing wet signatures of the authorized signatories of the entity, received from some other official / employee of the non-individual investor may also be accepted, and shall be binding on the non-individual investor provided
 - (i) The email is also CC'd (copied) to the registered email ID of the authorized official / signatory of the non-individual unitholder; and
 - (ii) the domain name of the email ID of the sender of the email is from the same organization's official domain name.
4. In addition to the acceptance of financial transactions via email,
 - (a) scanned copies of signed transaction form/request letter bearing wet signatures of the authorized signatories of the entity, received from the registered mutual fund distributor of the entity or a third party duly authorized by the non-individual unitholder may also be accepted subject to fulfillment of the following requirements:

- (i) Authorization letter from the non-individual unitholder authorizing the MFD/person to send the scanned copies of signed transaction form/request letter on behalf the non-individual investor.
- (ii) In such cases, the non-individual unitholder's registered email ID shall also be copied in the email sent by the MFD/person sending the scanned copies of the duly signed transaction form/request letter. the latter, as the case may be, after following the prescribed procedure.

VIII. DECLARATION AND SIGNATURES

1. Signatures can be in English or in any Indian language. Thumb impressions must be attested by a Magistrate/Notary Public under his/her official seal. In case of HUF, the Karta will sign on behalf of the HUF. Similarly, for the Association of Persons (AOP) the application must be signed by the Authorised Signatory. Applications on behalf of minors should be signed by their Guardian.
2. If the application form is signed by a Power of Attorney (POA) holder, the form should be accompanied by a notarised photocopy of the POA. Alternatively, the original POA can be submitted, which will be returned after verification. The signature of the investor and the POA holder has to be clearly captured in the POA document to be accepted as a valid document.
3. In case of corporate or any non-individual investors, a list of authorised signatories should be submitted along with the application form.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Website: <https://navi.com/mutual-fund>

Toll free : 18002032131 Non Toll Free: +91 9535999572

mf@navi.com

19 INSTRUCTIONS TO INVESTORS FOR FILLING UP THE APPLICATION FORM

IX. EMAIL COMMUNICATION

Account Statements / Newsletters / Annual Reports / Other statutory information (as may be permitted under SEBI (Mutual Funds) Regulations, 1996) can be sent to each unit holders by courier/post/email. Unit holders who have opted to receive these documents by email will be sent all documents by email only and no physical documents will be sent. Should the Unit holder experience any difficulty in accessing the electronically delivered documents, the Unit holder may request the fund for delivery of the same through alternate means. It is deemed that the Unit holder is aware of the security risks including possible third party interception of the documents. Default option is email for CAS and holding statements w.e.f August 01, 2024.

X. E-ALERTS

This facility enables the Unit holder to receive SMS confirmation for purchase, redemption, switch, declaration.

XI. DIRECT PLAN

In compliance with Paragraph 3.4 of SEBI Master Circular on Mutual Funds dated March 20, 2026. In all the eligible schemes of Navi Mutual Fund along with the 'Regular Plan', The Direct Plan is only for investors who purchase /subscribe Units in a Scheme directly with the Fund and is not available for investors who route their investments through a Distributor. All Plans / Options / Sub-Options offered under the Schemes of Existing Plan will also be available for subscription under the "Direct Plan". For details please refer to the table on Scheme options. Accordingly, investors subscribing under Direct Plan of Navi Nifty REITs & Realty Index Fund have to indicate the Scheme / Plan name in the application form as 'Navi Nifty REITs & Realty Index Fund - Direct Plan'. Investors should also indicate "Direct" in the ARN column. In case Distributor code is mentioned on the application form, the Distributor code will be ignored and no commission will be paid to the distributor. Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc and no commission for distribution of Units will be paid / charged under Direct Plan.

XII. ANTI MONEY LAUNDERING (AML) REGULATIONS

Details for compliance with Anti Money Laundering (AML) regulations Prevention of Money Laundering Act, the SEBI Circulars on Anti Money Laundering and the Client Identification implementation procedures prescribed by AMFI inter alia require the AMC to verify the records of identity and address(es) of investors. To ensure adherence to these requirements, investors are required to approach Points of Service (POS) (list of POS available on www.amfiindia.com) appointed by any of the KYC Registration Agency and submit documents for completion of appropriate KYC checks. The Mutual Fund website also prescribes the list of documents that can be submitted by investors to the POS to get their KYC checks completed. It is mandatory for all investors (including joint holders, NRIs, POA holders and guardians in the case of minors) to furnish such documents and information as may be required to comply with the Know Your Customers (KYC) policies under the AML Laws. Applications without such documents and information may be rejected. Uniform KYC format of SEBI Master Circular on Know Your Client (KYC) norms for the securities market dated October 12, 2023. Permanent Account Number (PAN) would be the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, except (a) investors residing in the state of Sikkim; (b) Central Government, State Government, and the officials appointed by the courts e.g. Official liquidator, Court receiver etc.(under the category of Government) and (c) investors participating only in micro-pension. In compliance with Clause 16.7 of SEBI Master Circular of Mutual Fund dated May 19, 2023, has conveyed that Systematic Investment Plans (SIP) and lumpsum investments (both put together) per mutual fund up to Rs.50,000/- per year per investor shall be exempted from the requirement of PAN. Accordingly, investments in Navi AMC LTD (including SIP investment where the aggregate of SIP installments in a rolling 12 months period or in a financial year i.e April to March) of upto Rs 50,000/per investor per year shall be exempt from the requirement of PAN. However, eligible investors (including joint holders) should comply with the KYC requirement through registered KRA by submitting photo identification documents as proof of identification and the Proof of Address [selfattested by the investor / attested by the ARN Holder/AMFI distributor]. These exempted investors will have to quote the "PEKRN (PAN exempt KYC Ref No) in the application form. This exemption of PAN will be applicable only to investments by individuals (including NRIs but not PIOs), joint holders, Minors and Sole proprietary firms. PIOs, HUFs and other categories of investors will not be eligible for this exemption. Thus, submission of PAN is mandatory for all other investors existing as well as prospective investors (except the ones mentioned above) (including all joint applicants/holders, guardians in case of minors, POA holders and NRIs but except for the categories mentioned above) for investing with mutual funds from this date. Investors are required to register their PAN with the Mutual Fund by providing the PAN card copy (along with the original for verification which will be returned across the counter). The procedure implemented by the AMC and the decisions taken by the AMC in this regard shall be deemed final. After completion of KYC compliance, investors need to approach KRA for Change of Address and not Registrar (CAMS). In respect of KYC compliant Folio, prospective Change of Address received along with transaction slip will not be processed by Registrar (CAMS). The AMC reserves the right to reject subscription requests in the absence of appropriate compliance with the AML Laws. as amended by SEBI from time to time.

- MF investors who had complied with KYC requirements with CVL, prior to 1 January 2012, and wanting to make additional investment in the same MF (where they hold investments) with no further requirement for KRA compliance.
- Individual Investor who has registered under Central KYC Records Registry (CKYCR) has to fill the 14 digit KYC Identification No. (KIN) in application form as per AMR Circular 135/ BP/68/2016-17.
- The above will be applicable for both Individuals and Non-individuals.

XIII. EUIN

Investments through distributors

As per directions of Securities and Exchange Board of India (SEBI), the distributors, agents or any persons employed or engaged or to be employed or engaged in the sale and/or distribution of mutual fund products are required to have a valid certification from the National Institute of Securities Markets (NISM) by passing the certification examination. Further, no agents /distributors are entitled to sell units of mutual funds unless the intermediary is registered with Association of Mutual Funds in India (AMFI). Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. EUIN, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee/relationship manager/ sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing mutual fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to provide a duly signed declaration to this effect, as given in the Form. Overseas Distributors: Overseas Distributors are exempt from obtaining NISM certification and AMFI registration. However, such Overseas Distributors are required to comply with the guidelines/ requirements as may be issued by AMFI /SEW from time to time and also comply with the laws, rules and regulations of jurisdictions where they carry out their operations in the capacity of distributors. Direct Investments: Investors applying under Direct Plan must mention "Direct" in ARN column. In case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against

the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan. In case of valid application received with out indicating "Direct Plan" against the Scheme / Plan name and without any Distributor Code mentioned on the form, the application will be processed under "Direct Plans"

XIV UNITHOLDERS' INFORMATION

1. Account Statement:

The AMC shall send an allotment confirmation specifying the units allotted by way of email and/or SMS within 5 business days of receipt of valid application/transaction to the Unit holders registered e-mail address and/ or mobile number (whether units are held in demat mode or in account statement form).

A consolidated Account Statement and Monthly CAS shall be issued to investors that have opted for delivery via electronic mode (e-CAS) by the twelfth (12th) day from the month end, detailing all the transactions across all schemes of Navi Mutual Fund and to investors that have opted for delivery via physical mode by the fifteenth (15th) day from the month end.

The CAS will be dispatched by email to all the investors whose email addresses are registered with the Depositories and AMCs/MF-RTAs. However, where an investor does not wish to receive CAS through email, option shall be given to the investor to receive the CAS in physical form at the address registered with the Depositories and the AMCs/MF-RTAs. The depositories shall also intimate the investor on quarterly basis through the SMS mode specifying the email id on which the CAS is being sent.

If there is any transaction in any of the demat accounts of the investor or in any of his mutual fund folios, then CAS shall be sent to that investor through email on a monthly basis. In case there is no transaction in any of the mutual fund and demat accounts then CAS with holding details shall be sent to the investors by email on half yearly basis. The depositories shall dispatch the CAS to investors that have opted for delivery via electronic mode, on or before the eighteenth (18th) day of April and October and to investors that have opted for delivery via physical mode, on or before the twenty-first (21st) day of April and October.

However, where an investor does not wish to receive CAS through email, option shall be given to the investor to receive the CAS in physical form at the address registered with the Depositories and the AMCs/MF-RTAs. In the event the account has more than one registered holder, the first named Unit holder shall receive the CAS/ account statement.

The transactions viz. purchase redemption, switch, etc., carried out by the Unit holders shall be reflected in the CAS on the basis of Permanent Account Number (PAN).

The CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN.

In case of a specific request received from the Unit holders, the AMC will provide an account statement (reflecting transactions of the Fund) to the investors within 5 Business Days from the receipt of such request, by mail/email. The Unit holder without any charges may request for a physical account statement by writing to/calling the AMC/ISC/RTA. The Mutual Fund/ AMC shall dispatch an account statement within 5 Business Days from the date of the receipt of request from the Unit holder.

Half Yearly CAS shall be issued to investors that have opted for e-CAS on or before the eighteenth (18th) day of April and October, to all investors providing the prescribed details across all schemes of mutual funds and to investors that have opted for delivery via physical mode by the twenty first (21st) day of April and October.

The statement of holding of the beneficiary account holder for units held in demat will be sent by the respective DP's periodically.

The Account Statement shall state that the net investment as gross subscription less transaction charges, if any and specify the no. of units allotted against the net investment.

CAS for investors having Demat account:

- Investors having MF investments and holding securities in Demat accounts shall receive a single Consolidated Account Statement (CAS) from the Depository.
- Consolidation of account statements shall be done on the basis of Permanent Account Number (PAN). In case of multiple holding, it shall be PAN of the first holder and pattern of holding. The CAS shall be generated on a monthly basis.
- If there is any transaction in any of the Demat accounts of the investor or in any of his mutual fund folios, depositories shall send the CAS within ten days from the month end. In case, there is no transaction in any of the mutual fund folios and demat accounts then CAS with holding details shall be sent to the investor on a half yearly basis.
- In case an investor has multiple accounts across two depositories, the depository with whom the account has been opened earlier will be the default depository.
- No Account Statements will be issued by the AMC to Unit holders who hold units in dematerialized mode. For Units in dematerialised mode, the Account Statements may be obtained by the Investor from the depository participants with whom the investor holds the DP account.

The dispatch of CAS by the depositories would constitute compliance by the AMC/ the Mutual Fund with the requirement under Regulation 36(4) of SEBI (Mutual Funds) Regulations and as per SEBI Circular Reference no. SEBI/HO/MRD/PoD1/CIR/P/2025/16 dated February 14, 2025

2. Monthly Portfolio

- The Mutual Fund shall disclose portfolio (along with ISIN) as on the last day of the month for all the scheme on its website and on the website of AMFI (<https://www.amfiindia.com/>) within 10 calendar days from the close of days from the close of each month in a user-friendly and downloadable spreadsheet format as specified by SEBI. The said aforementioned portfolio can be downloaded from the website of Navi Mutual Fund on <https://navi.com/mutual-fund/downloads/portfolio>
- In case of unit holders whose e-mail addresses are registered, AMCs shall send such monthly statements of scheme portfolio to such unitholders via email. AMCs shall provide a feature wherein a link is provided to investors to their registered email to enable the investor to directly view/download only the portfolio of schemes subscribed by the said investor, along with the scheme risk-o-meter, name of benchmark and risk-o-meter of benchmark.
- The mutual fund shall provide a physical copy of the statement of its scheme portfolio, without charging any cost, on specific request received from a unitholder.
- AMCs shall declare on their website the hosting of the monthly statement of its schemes portfolio and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter) through which a unit holder can submit a request for a physical or electronic copy of the statement of scheme portfolio under contact us section.

3. Half Yearly Financial Results

The Mutual Fund shall within one month from the close of each half year, (i.e.31st March and 30th September), host a soft copy of its unaudited financial results on its website of Navi Mutual Fund on <https://navi.com/mutual-fund/downloads/statutory-disclosure> >> Financial reports and on the website of AMFI (<https://www.amfiindia.com/>) in a user-friendly, downloadable and machine-readable format. Written communication (including digital modes such as email/SMS etc.) shall be sent to unitholders by the asset management company about the availability of financial results.

Paragraph 6.3 of SEBI Master Circular on Mutual Fund dated March 20, 2026, the AMC shall within one month from the close of each half year, that is on 31st March and on 30th September, host a soft copy of its unaudited financial results on its website: <https://navi.com/mutual-fund/downloads/statutory-disclosure> >>Financial reports and on the website of AMFI at <https://www.amfiindia.com/>

4. Annual report

- The scheme wise annual report, in machine readable format, shall be hosted on the website of the AMC at <https://navi.com/mutual-fund/corporate-governance/annual-report> and on the website of AMFI at <https://www.amfiindia.com/>. The AMC shall display the link prominently on their websites and make the physical copies available to the unit holders, at their registered offices at all times.
- AMCs shall send an email/SMS to all unitholders regarding the hosting of scheme wise annual report on their website and on the website of AMFI.

AMCs shall e-mail the scheme annual reports or abridged summary thereof, in machine readable formats, to all such unit holders, whose email addresses are registered with the Mutual Fund, within 4 months from the date of closure of the relevant financial year. The said communication shall also include details of modes such as SMS, telephone, email or written request (letter), etc. through which unit holders can submit a request for a physical copy of the scheme wise annual report or abridged summary thereof.



Website: <https://navi.com/mutual-fund>



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SYSTEMATIC INVESTMENT PLAN (SIP) -Navi Nifty REITs & Realty Index Fund

(Applicable for Lumpsum Additional Purchase as well as SIP Registration) **LUMPSUM / SIP AUTO DEBIT / NACH / ECS FORM** (for Lumpsum Investment please refer page 1) New Investor are requested to fill in the Common Application form. First SIP Cheque and subsequent Via Auto Debit in Selected cities only.

1	DISTRIBUTOR / ARN CODE / RIA	SUB BROKER ARN CODE	EUIN*	SUB-BROKER CODE / AGENT CODE	RM CODE	DATE & TIME OF RECEIPT
						FOR OFFICE USE ONLY
*I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or not with standing the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction".						
Sole /1st Applicant/Guardian/Authorised Signatory/POA Holder		2nd Applicant/Authorised Signatory/PGA Holder		3rd Applicant/Authorised Signatory/POA Holder		

2	REGISTRATION CUM MANDATE FORM FOR SIP THROUGH NACH, AUTO DEBIT OR ECS (Debit Clearing/Auto Debit)
(Please ☒)	☐ New Registration* *if you are a new investor kindly fill the common application form

3	INVESTOR AND INVESTMENT DETAILS
Sole/First Investor Name ☐ Mr. ☐ Ms. ☐ M/s.	
PAN/PEKRN # ☐ KYC Proof #	
CKYC Id	By sharing the Aadhaar number I provide my consent for sharing / disclosing of my Aadhaar number(s) including demographic information with the asset management Companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios.
Folio/Application No.	Existing Investors please mention Folio No.
Scheme	Navi Nifty REITs & Realty Index Fund
Plan	☐ Direct ☐ Regular Option: ☐ Growth
In case of any ambiguity / incomplete Information, the default plan / option / sub-option will be applicable as per the scheme's Key Information Memorandum. Scheme Information Document & Statement of Additional. Individual Applicant must fill Individual self certification under FATCA. All Non Individual Investors have to mandatory fill UBO Declaration Form.	

4	SIP DETAILS (Please tick on any 1 SIP frequency only. In case the SIP frequency opted for is either Monthly, Quarterly or Half Yearly, please mention the date of SIP)
Each SIP Amount (Rs)	
First SIP Cheque No.	Cheque Amount (Rs)
Frequency	Start Date
Weekly	End Date
Fortnightly	Perpetual
Daily	
Monthly	
Quarterly	
Half Yearly	
SIP Period	
(Note : Cheque should be drawn on bank details provided below. Please allow minimum one month for Auto Debit to register and start). Each of the SIP installment excluding initial cheque should be of the same amount & there should be a gap of 30 days between 1st & 2nd SIP installment. Please refer NACH instruction page for further clarification.	
I/We hereby, authorised Navi Mutual Fund and their authorised service providers, to debit my/our following bank account NACH/ECS (Debit Clearing/Auto Debit to account for collection of SIP Payment	
I/We hereby declare that the particulars over and above are correct and express my willingness to make payment referred above through participation in Lumpsum NACH/ECS/auto debit If the transaction is delayed or not executed at all for any reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will inform Navi Mutual Fund about any changes in my bank account. I/We have read and agreed to the terms and condition mentioned overleaf. I/We have read and understood the contents of SID/KIM/SAI, I/We hereby apply for the respective units of Navi Mutual Fund Scheme at NAV based resale price and agree to abide by terms, conditions, rules and regulation of the scheme (s).	
Signature(s)	
Sole /1st Applicant/Guardian/Authorised Signatory/POA Holder	2nd Applicant/Authorised Signatory/PGA Holder
3rd Applicant/Authorised Signatory/POA Holder	
To be signed by ALL UNIT HOLDERS if mode of holding joint	

5 LUMPSUM I NACH I ECS / DIRECT DEBIT / MANDATE INSTRUCTIONS FORM (applicable for LUMPSUM additional purchase as well as SIP registration)

UMRN		Date	
Sponsor Bank Code		Utility Code	
Tick (✓)		to debit (Tick ✓)	
I/We here by authorize NAVI MUTUAL FUND		☐ SB ☐ CA ☐ CC ☐ SB-NRE ☐ SB-NRO ☐ Other	
Bank a/c Number			
With Bank		or MICR	
Name of customer bank		IFSC	
an amount of Rupees		Amount in words	
Frequency		Debit Type	
☒ Monthly ☒ Quarterly ☒ Half Yearly ☒ Yearly ☒ As & when presented		☒ Fixed Amount ☒ Maximum Amount	
Reference 1		Mobile No.	
Reference 2		Email ID	
I Agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my accounts as per latest schedule of charges of the bank.			
Period From		1. Signature Primary Account holder	
To		2. Signature of Account holder	
Or		3. Signature of Account holder	
Until Cancelled		1. Name as in bank records	
		2. Name as in bank records	
		3. Name as in bank records	
Declaration: This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account, based on the instruction as agreed and signed by me. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/ amendment request to the user entity / corporate or the bank where I have authorized the debit.			

Please attach proof. Refer instructions page point II - APPLICANT INFORMATION

Acknowledgment Slip (To be filled in by the investor)	Application No.
Received from Mr./Ms./M/s.	
An application for Scheme: Navi Nifty REITs & Realty Index Fund	
Plan: Option:	
Cheque/DD No. : Dated: Amount (Rs.) Drawn	
on Bank and Branch :	
Please note : All Purchases are subject to realisation of Cheques/DD.	
Collection Centre's Stamp & Receipt	
Date and Time	

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

20 INSTRUCTIONS FOR FILLING UP OTM / SIP FORM

Mandatory Information to be provided by Investors In One Time Mandate Form (OTM) / SIP / NACH / ECS form

1. Unique Mandate Registration Number is for Office use only.
2. Date in DD/MM/YYYY format
3. Tick to select type of action initiated (Create, Modify or Cancel)
4. Tick to select type of account to be affected
5. Customers bank account number, left padded with zeros. (Maximum length - 30 Alpha Numeric Characters)
6. Name of Investor's Bank
7. IFSC or MICR of Investors Bank (Maximum length -11 Alpha Numeric Characters for IFSC & Maximum Length
8. Numeric Characters for MICR)
9. Amount payable for service or maximum amount per transaction that needs to be processed, in words.
10. Amount in figures, similar to the amount mentioned in words as per point 8 and above
11. Tick on box to select frequency of transaction
12. Tick on box to select Debit type
13. Mention the Folio No.
14. Under Scheme Code please mention the Scheme Name
15. Registered Phone No. with STD Code if the contact number is a landline number or 10 digit mobile number & Email ID
16. Mention the start and end date of transaction in DD / MM / YYYY format
17. Signature of the Account Holders in the Folio
18. Name as per Bank records
19. NACH debit facility is offered at various banks. For a detailed list of banks please refer the website www.npci.org.in
20. By signing the NACH mandate form the investor agrees to abide by the terms and conditions of NACH facility through NPCI (mwr.npci.org.in).
21. New Investors should mandatorily give a cheque for the first transaction
22. Please provide the cancelled cheque leaf of the Bank A/c no. for which NACH facility is registered
23. In case of existing investor, if application is received without existing UMRN then the first UMRN registered in the folio would be considered
24. Investors who have already submitted a One Time Mandate (OTM) form or already registered for OTM facility should not submit OTM form again as OTM registration is a one-time process only for each bank account. However, if such investors wish to add a new bank account towards OTM facility may fill the form.
25. Please mention the Name of Bank and Branch, IFSC / MICR Code also provide An Original Cancelled copy of the cheque of the same bank account registered in One Time Mandate.
26. Amount payable for service or maximum amount per transaction that could be processed in words. The amount in figures should be same as the amount mentioned in words, in case of ambiguity the mandate will be rejected.
27. Unit holder(s) need to provide along with the mandate form an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unit holder(s) cheque/ bank account details are subject to third party verification.
28. For the convenience of investors, the frequency of the mandate mentioned "As and when presented".
29. There is no maximum duration for enrolment. Investor(s) has an option to fill 'End Date' or select the option "Until Cancelled".

Terms and Conditions For SIP

1. If any chosen day falls on a non business day, the next business day will be considered as the transaction date.
2. For all transactions including lump sum and SIP, consolidated statements across Mutual Funds on common PAN basis will be sent once a month. The dispatch time will be before 10th of the following month. Dispatches are carried out via post as in the form of inland letters. For transactions without PAN (Micro SIPs) statements are sent by the AMCs independently as and when the transactions are carried out.
3. The unit holders can choose to discontinue/cancel the SIP at any point of time by submitting a written request to the nearest designated Investor Service Center. Such request for discontinuation/ cancellation should be received at least 30 working days prior to the next SIP date.
4. Navi AMC Ltd reserves the right to reject any application without assigning any reason thereof and the Trustee reserves the right to change/modify the terms and conditions of SIP.
5. For mandatory requirements like Bank account details, Know Your Customer (KYC-KRA) and required documents please refer KIM/SID.

Systematic Investment Plan / One time mandate plan

1. New investors, who wish to enroll for SIP, should fill the Common Application Form & SIP NACH/Auto Debit/ECS form.
2. Direct Debit/ECS instructions will take minimum 30 days for registration with the bank and hence the first auto debit will be carried out only after 30 days on the SIP date. The AMC reserves the right to modify the SIP registration period.
3. First SIP cheque could be submitted on any business day.
4. Investors want to invest in SIP, can subscribe to this facility subject to the condition that each of the SIP installments should be of the same amount (excluding the 1st installment amount).
5. Initial cheque should be drawn on any bank, which is situated at & is a member of the Banker's Clearing House located at the place where the SIP Application issued & crossed "A/c Payee Only".
6. For payments by NACH/ECS/Auto Debit mode, investors/unit holders are required to give SIP Auto Debit form to debit their bank accounts at periodic intervals & credit the subscription proceeds to Navi (AMC) Ltd Navi Mutual Fund Bank Account.
7. For change in bank mandate, the request must be submitted 30 days prior to be SIP dates.
8. Returned/Dishonored Cheque/ECS Rejects will not be presented again for collection. If the first installment cheque is dishonoured, the SIP processing/registration will be carried out as per the details given on ECS mandate form.
9. SIP enrollment will be discontinued in case the SIP installment is not honoured consecutively for 3 SIP installments.
10. If investor has not provided the SIP frequency the default SIP frequency would be Monthly If an investor does not mention SIP start date or the SIP start date is unclear in the application form/SIP Mandate, the SIP date will by default start from the next subsequent month after meeting the minimum registration requirement of 30 working days. If an investor does not mention SIP end date or the SIP end date is not expressly mentioned/ unclear in the application form/SIP Mandate, the tenure of SIP will be treated as perpetual i.e. the end date shall be considered as December 2099. In case an investor, who has opted for Perpetual SIP, subsequently intends to discontinue the same, a written communication thereof will be required to be furnished.
11. The default date will be considered as 7th of the month for each option.
12. Additional SIP. If an Investor wants to enroll In another scheme & continue for the existing folio, then the investor has to submit a duly filled & signed SIP form along with the first cheque (If Investment is in the new scheme) & NACHECS/Auto Debit form.
13. After completion of the tenure, in case the investor wants to continue his SIP investment for another tenure with the existing folio, then the investor has to submit a duly filled & signed SIP form & ECS Mandate Form.
14. As per Prevention of Money Laundering Act 2002, it is mandatory for all investors to be KYC - KRA compliant while making an application for a subscription of any amount. Thus application for any SIP installment amount without a valid KYC - KRA compliance may be rejected.
15. The cities mentioned above may be modified/updated/changed/removed at any time in future at the discretion of the AMC without assigning any reason or prior notice. If any city, bank/branch is removed, SIP (NACH/ ECS/Auto debit) instructions for investors in such cities, bank branch will be discontinued without prior notice.
16. As per the enable smooth processing of the debits from your Bank NC towards purchase of SIP wits we request you to mention the CBS NC No. wherever applicable.
17. The holding(s) of the beneficiary account holder for units held in demat mode will be shown in the statement issued by respective Depository participants (DPs) periodically. For more details, please refer the Scheme Information Document (SID) and Statement of Additional Information (SAI).

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APPLICANT DETAILS

NAME OF THE ENTITY	
TYPE OF ADDRESS GIVEN AT KRA	☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office
CUSTOMER ID / FOLIO NO	
PAN	
CITY OF INCORPORATION	
COUNTRY OF INCORPORATION	

PLEASE TICK THE APPLICABLE TAX RESIDENT DECLARATION

1. Is "Entity" a tax resident of any country other than India ☐ Yes ☐ No
 (If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID Number below)

COUNTRY	TAX IDENTIFICATION NUMBER*	IDENTIFICATION TYPE (TIN or other, please specify)

In case Tax Identification Number is not available, kindly provide its functional equivalent.

In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

Please refer to para 3 (VII) Exemption code for U.S. persons under Part 3 of FATCA Instructions & Definitions

FATCA & CRS Declaration (Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

1. We are a, Financial Institution ³ ☐ or Direct reporting NFE ⁴ ☐ (please tick as appropriate)	GIIN Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below Name of the sponsoring entity
2. GIIN not available (please tick as applicable) if the entity is a financial institution.	☐ Applied for ☐ Not required to apply for - please specify 2 digits sub - category ⁵ ☐ Not obtained - Non - participating FI

PART B (Please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs)

1. Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market) No ☐	Yes ☐ of yes, please specify any one stock exchange on which the stock is regularly traded) Name of stock exchange
2. Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) No ☐	Yes ☐ (If yes, please specify name of the listed company and one stock exchange on which the stock regularly trade) Name of listed company Nature of relation: Subsidiary of the Listed Company or Controlled by a Listed company Name of stock exchange
3. Is the Entity an active ¹ non-financial Entity (NFE) No ☐	Yes ☐ Name of Business Please specify the sub-category of Active NFE (Mention code - refer 2c of Part D)
4. Is the Entity a passive ² NFE No ☐	Yes ☐ (If yes, please fill UBO declaration in the next section) Name of Business

¹Refer 2 of Part D | ²Refer 3(II) of Part D | ³Refer 1(I) of Part D | ⁴Refer 3(VI) of Part D

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If passive NFE, please provide below additional details for each of Controlling person. (Please attach additional sheets if necessary)

Name & PAN / Any other Identification Number PAN, Aadhar, Passport, Election ID, Govt. ID, Driving Licence, NREGA Job Card, Others) City of Birth - Country of Birth	Occupation Type - Service, Business, Others Nationality Father's Name - Mandatory if PAN is not available	DOB - Date of Birth Gender - Male / Female / Other
1. Name & PAN _____ City of Birth _____ Country of Birth _____	Occupation Type _____ City of Birth _____ Country of Birth _____	DOB _____ DD/MM/YY Gender ☐ Male ☐ Female ☐ Others
1. Name & PAN _____ City of Birth _____ Country of Birth _____	Occupation Type _____ City of Birth _____ Country of Birth _____	DOB _____ DD/MM/YY Gender ☐ Male ☐ Female ☐ Others
1. Name & PAN _____ City of Birth _____ Country of Birth _____	Occupation Type _____ City of Birth _____ Country of Birth _____	DOB _____ DD/MM/YY Gender ☐ Male ☐ Female ☐ Others

Additional details to be filed by controlling persons with tax residency / permanent residency / citizenship / Green Card in any other country other than India
* To Include U.S. where controlling person is a U.S. citizen or green card holder.
% In case Tax Identification Number is not available, kindly provide functional equivalent.

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-Tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e. within 30 days.

If any controlling person of the entity is a U.S. citizen or green card holder, please include United States in the foreign country information field along with the U.S. Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

PART C: Certification

I / We have understood the information requirements of the Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct and complete. I /We also confirm that I/We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

Date: _____

Name: _____

Designation: _____

Signature & Seal

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Note: The Guidance Note/notification issued by the CBDT shall prevail in respect to interpretation of the terms specified in the form)

- 1 Financial Institution (FI) - The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.
 - Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
 - Custodial institution is an entity that holds a substantial portion of its business, holds financial assets for the account of others and where its income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of -
 - (i) The three financial years preceding the year in which determination is made; or
 - (ii) The period during which the entity has been in existence, whichever is less.
 - Investment entity is any entity:
 - That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
 - (i) Trading in money market instruments, (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange, exchange, interest rate, and index instruments; transferable securities; or commodity futures trading; or
 - (ii) Individual or collective portfolio management; or
 - (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons; or
 - The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above. An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of:
 - (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
 - (ii) The period during which the entity has been in existence.
- The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 - refer point 2c.)
- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

FI not required to apply for GIIN: (Refer Rule 114(5) of Income Tax Rules, 1962 for the conditions to be satisfied as "non-reporting financial institution and Guidance issued by CBDT in this regard.

A. Reasons why FI not required to apply for GIIN:

Code	Sub-category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund Entity is an Indian FI solely because it is an investment entity Qualified credit card issuer
06	Investment Advisors, Investment Managers & Executing Brokers
07	Exempt collective investment vehicle
08	Trust
09	Non-registering local banks
10	FFI with only Low-Value Accounts
11	Sponsored investment entity and controlled foreign corporation
12	Sponsored, Closely Held Investment Vehicle

2. Active Non-Financial Entity (NFE) : (any of the following): Refer Explanation (A) to 114F(6) of Income Tax Rules, 1962 for details.

Code	Sub-category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The stock of the entity is regularly traded on an established securities market or the non-financial entity is a related entity of an entity, the stock of which is regularly traded on an established securities market.
03	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
04	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
05	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
06	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
07	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
08	Any NFE that fulfills all of the following requirements: It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; • It is exempt from income tax in India; • It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:- (i) an Investor Protection Fund referred to in clause (23EA); (ii) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (iii) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

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Note: The Guidance Note/notification issued by the CBDT shall prevail in respect to interpretation of the terms specified in the form)

3. Other definitions

(i) Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control. For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

(ii) Passive NFE

The term passive NFE means

- (i) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or
- (ii) an Investment entity defined in clause (b) of these Instructions
- (iii) a withholding foreign partnership or withholding foreign trust;

(iii) Passive income

The term passive income includes income by way of :

- (1) Interest
 - (2) Income equivalent to interest,
 - (3) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE
 - (4) Annuities
 - (5) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income
 - (6) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,
 - (7) The excess of foreign currency gains over foreign currency losses
 - (8) Net Income from swaps
 - (9) Amounts received under cash value insurance contracts
- But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

(iv) Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005.

In determining the beneficial owner, the procedure specified in the following circulars as amended from time to time shall be applied, namely:

- i. OBOD.AML.BC. No. 71/14.01.001/2012-13, issued on the 18th January, 2013 by the Reserve Bank of India; or
- ii. CIRMIRSD/2/2013, issued on the 24th January, 2013 by the Securities and Exchange Board of India; or
- iii. IRONSDD/GDUCIR/019/02/2013, issued on the 4th February, 2013 by the Insurance Regulatory and Development Authority.

In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. and in the case of a legal arrangement other than a trust, the said expression means the person in equivalent or similar position.

(A) Controlling Person Type:

2. Active Non-Financial Entity (NFE) : (any of the following): Refer Explanation (A) to 114F(6) of Income Tax Rules, 1962 for details.

Code	Sub-category
01	CP of legal person-ownership
02	CP of legal person-other means
03	CP of legal person-senior managing official
04	CP of legal arrangement-trust-settlor
05	CP of legal arrangement-trust-trustee
06	CP of legal arrangement-trust-protector
07	CP of legal arrangement-trust-beneficiary
08	CP of legal arrangement-trust-other
09	CP of legal arrangement- Other-settlor equivalent
10	CP of legal arrangement-Other-trustee equivalent
11	CP of legal arrangement-Other-protector equivalent
12	CP of legal arrangement-Other-beneficiary equivalent
13	CP of legal arrangement-Other-other equivalent
14	Unknown

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(v) Specified U.S. person - A U.S. person other than the following:

- (i) a corporation the stock of which is regularly traded on one or more established securities markets;
- (ii) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (iii) the United States or any wholly owned agency or instrumentality thereof;
- (iv) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (v) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- (vi) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- (vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- (viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- (ix) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (x) any trust that is exempt from tax under section 664© of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- (xi) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- (xii) a broker as defined in section 6045© of the U.S. Internal Revenue Code; or
- (xiii) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

(vi) Direct reporting NFE

A direct reporting NFE means a NFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS

(vii) Exemption code for U.S. persons (Refer 114(9) of Income Tax Rules, 1962 details)

Code	Sub-category
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
B	The United States or any of its agencies or instrumentalities
C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
G	A real estate investment trust
H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
I	A common trust fund as defined in section 584(a)
J	A bank as defined in section 581
K	A broker
L	A trust exempt from tax under section 664 or described in section 4947(a)(1)
M	A tax exempt trust under a section 403(b) plan or section 457(g) plan

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FATCA & CRS Terms & Conditions for Individual Accounts including Sole Proprietor

(Note: The Guidance Note / notification Issued by the CBOT shall prevail in respect to Interpretation of the terms specified in the form)

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income -tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointment agencies.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with (Insert Hs name) or its group entities. Therefore, it is important that you respond, even if you believe you have already supplied any previously requested information.

FATCA & CRS Instructions

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS Instructions	Documentation required for Cure of FATCA / CRS Indicia
U. S. place of birth	- Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes; - Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND - Any one of the following documents; - Certified Copy of 'Certificate of Loss of Nationality - or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; - or Reason the customer did not obtain U.S. citizenship at birth
Residence / mailing address in a country other than India	- Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and - Documentary evidence (refer list below)
Telephone number in a country other than India	If no Indian telephone number is provided - Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and - Documentary evidence (refer list below) If Indian telephone number is provided along with a foreign country telephone number OR - Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR - Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

- Certificate of residence issued by an authorized government body*
- Valid identification issued by an authorized government body (e.g. Passport, National Identity card, etc.)

*Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.

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Declaration Form of Ultimate Beneficial Ownership [UBO] / Controlling Persons



(Mandatory for Non-individual Investors)

I: Investor details:

Investor Name										
PAN*										

* If PAN is not available, specify Folio No. (s)

II: Category

☐ Our company is a Listed Company on a recognized stock exchange in India / Subsidiary of a or Controlled by a Listed Company [If this category is selected, no need to provide UBO details].

Name of the Stock Exchange where it is listed#. _____

Security ISIN# _____

Name of the Listed Company (applicable if the investor is subsidiary/associate): _____

#mandatory in case of Listed company or subsidiary of the Listed Company

☐ Unlisted Company ☐ Partnership Firm / LLP ☐ Unincorporated association / body of individuals

☐ Public Charitable Trust ☐ Private Trust ☐ Religious Trust ☐ Trust created by a

☐ II. Others [please specify] _____

UBO / Controlling Person(s) details.

Does your company/entity have any individual person(s) who holds direct / indirect controlling ownership above the prescribed threshold limit? ☐ Yes ☐ No

If 'YES' - We hereby declare that the following individual person holds directly / indirectly controlling ownership in our entity above the prescribed threshold limit. Details of such individual(s) are given below.

If 'NO' - declare that no individual person (directly / indirectly) holds controlling ownership in our entity above the prescribed threshold limit. Details of the individual who holds the position of Senior Managing Official (SMO) are provided below.

	UBO-1 / Senior Managing Official (SMO)	UBO-2	UBO-3
Name of the UBO/SMO#.			
UBO / SMO PAN#. For Foreign National, TIN to be provided]			
% of beneficial interest#.	>10% controlling interest. ☐	>10% controlling interest. ☐	>10% controlling interest. ☐

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Declaration Form of Ultimate Beneficial Ownership [UBO] / Controlling Persons



(Mandatory for Non-individual Investors)

	>15% controlling interest. ☐ >25% controlling interest. ☐ NA. (for SMO) ☐	>15% controlling interest. ☐ >25% controlling interest. ☐ NA. (for SMO) ☐	>15% controlling interest. ☐ >25% controlling interest. ☐ NA. (for SMO) ☐
UBO / SMO Country of Tax Residency#.			
UBO / SMO Taxpayer Identification Number / Equivalent ID Number#.			
UBO / SMO Identity Type			
UBO / SMO Place & Country of Birth#	Place of Birth _____ Country of Birth _____	Place of Birth _____ Country of Birth _____	Place of Birth _____ Country of Birth _____
UBO / SMO Nationality			
UBO / SMO Date of Birth [dd-mmm-yyyy] #			
UBO / SMO PEP#	Yes – PEP. ☐ Yes – Related to PEP. ☐ N – Not a PEP. ☐		
UBO / SMO Address [include City, Pincode, State, Country]	Address: City: Pincode: State: Country:	Address: City: Pincode: State: Country:	Address: City: Pincode: State: Country:
UBO / SMO Address Type	Residence ☐ Business ☐ Registered Office. ☐		
UBO / SMO Email			
UBO / SMO Mobile			
UBO / SMO Gender	Male ☐ Female ☐ Others ☐		

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Declaration Form of Ultimate Beneficial Ownership [UBO] / Controlling Persons



(Mandatory for Non-individual Investors)

UBO / SMO Father's Name			
UBO / SMO Occupation	Public Service ☐ Private Service ☐ Business ☐ Others ☐		
SMO Designation#			
UBO / SMO KYC Complied?	Yes / No. If 'Yes,' please attach the KYC acknowledgement. If 'No,' complete the KYC and confirm the status.	Yes / No. If 'Yes,' please attach the KYC acknowledgement. If No, complete the KYC and confirm the status.	Yes / No. If 'Yes,' please attach the KYC acknowledgement. If No, complete the KYC and confirm the status.

Mandatory column.

Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.

* Participating Mutual Fund(s) / RTA may call for additional information/documentation wherever required or if the given information is not clear / incomplete / correct and you may provide the same as and when solicited.

Declaration

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false, untrue, misleading, or misrepresenting, I/We am/are aware that I/We may be liable for it including any penalty levied by the statutory/legal/regulatory authority. I/We hereby confirm the above beneficial interest after perusing all applicable shareholding pattern and MF/RTA/other registered intermediaries can make reliance on the same. I/We hereby authorize you [RTA/Fund/AMC/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries /or any regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

Signature with relevant seal:

Authorized Signatory Name: Designation:	Authorized Signatory Name: Designation:	Authorized Signatory Name: Designation:
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Place: _____

Date: __ / __ / __

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Declaration Form of Ultimate Beneficial Ownership [UBO] / Controlling Persons



(Mandatory for Non-individual Investors)

Instructions on Controlling Persons / Ultimate Beneficial Owner

As per PMLA guidelines and relevant SEBI circulars issued from time to time, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/ UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

A. For Investors other than individuals or trusts:

- (i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:
 - more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.
 - more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership.
 - more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.
- (ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.
- (iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

B. For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

C. Exemption in case of listed companies / foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client.

D. KYC requirements

Beneficial Owner(s) / Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s) / SMO(s).

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Toll free : 18002032131 Non Toll Free: +91 9535999572

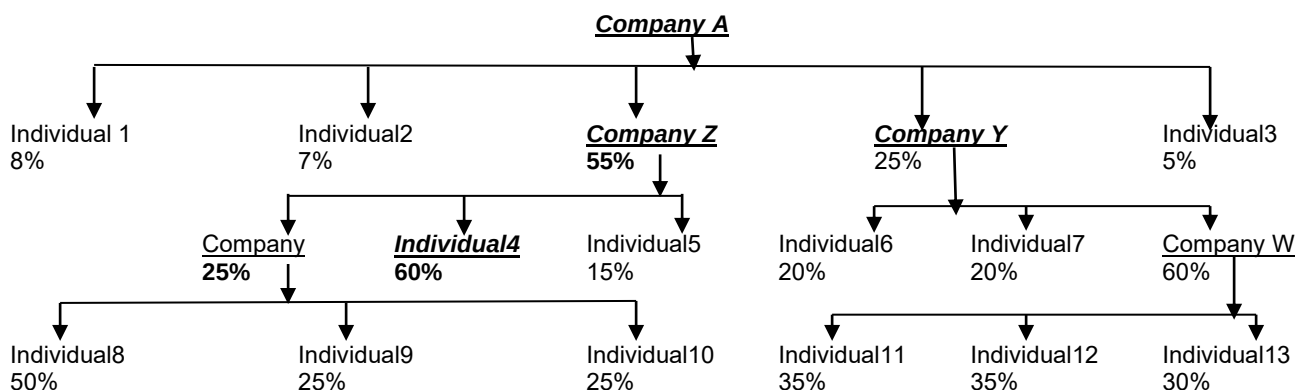
mf@navi.com

Declaration Form of Ultimate Beneficial Ownership [UBO] / Controlling Persons

(Mandatory for Non-individual Investors)

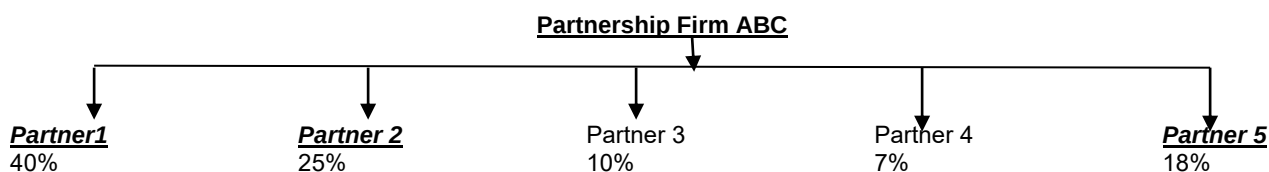
Sample Illustrations for ascertaining beneficial ownership:

Illustration No. 1 – Company A



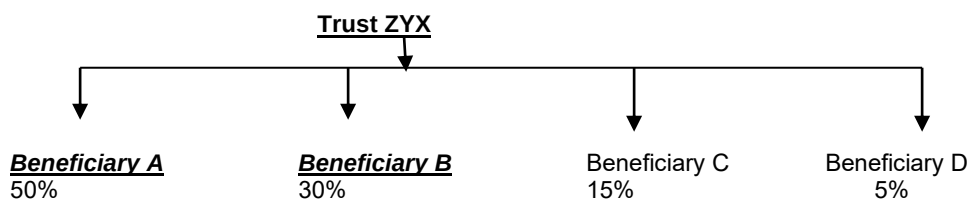
For Applicant A, Individual 4 is considered as UBO as it holds effective ownership of 33% in Company A. Hence details of Individual 4 must be provided with KYC proof, Shareholding pattern of Company A, Z & Y to be provided along with details of persons of Company Y who are senior managing officials and those exercising control.

Illustration No. 2 – Partner ABC



For Partnership Firm ABC, Partners 1, 2 and 5 are considered as UBO as each of them holds $\geq 15\%$ of capital. KYC proof of these partners needs to be submitted including shareholding.

Illustration No. 3 – Trustee ZYX



For Trust ZYX, Beneficiaries A, B and C are considered as UBO as they are entitled to get benefitted for $\geq 10\%$ of funds used. KYC proof for these beneficiaries needs to be submitted. Additionally, if they have nominated any person or group of persons as Settlor of Trust / Protector of Trust, relevant information to be provided along with the proof indicated.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Navi Nifty REITs & Realty Index Fund- Application Form



Application Form - Navi Nifty REITs & Realty Index Fund

(An open-ended scheme replicating / tracking Nifty REITs & Realty Index)

This product is suitable for investors who are seeking*

Navi Nifty REITs & Realty Index Fund (An open-ended scheme replicating / tracking Nifty REITs & Realty Index) - Capital appreciation over the long term. - Equity and equity related securities covered by Nifty REITs & Realty Index - Return that corresponds to the performance of Nifty REITs & Realty Index subject to the tracking error.	Scheme Riskometer The risk of the scheme/benchmark is Very High	Benchmark Risk-o-meter Nifty REITs & Realty Index The risk of the scheme/benchmark is Very High
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Application No. _____
NFO Dates: NFO Opens: 1st September 2026, NFO Closes 10th September 2026
Scheme re-opens for continuous sale and repurchase within 5 business days of allotment of units.

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

The above product labelling assigned during the New Fund Offer is based on internal assessment of the Scheme Characteristics or model portfolio and the same may vary post NFO when actual investments are made. Investors must read the Scheme Information Document and Key Information Memorandum, Instructions and Product Labelling before completing this Form. Tick (✓) whichever is applicable, strike out whichever is not required. (*) mark fields are mandatory

1	DISTRIBUTOR / ARN CODE / RIA	SUB BROKER ARN CODE	EUIN*	SUB-BROKER CODE / AGENT CODE	RM CODE	DATE & TIME OF RECEIPT
						FOR OFFICE USE ONLY

☐ Please ✓ if the EUIN space is left blank: I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction. Upfront commission shall be paid directly by the investor to the (AMFI) registered Distributors based on the investors' assessment of various factors including services rendered by the distributor.

2 APPLICANTS INFORMATION (Name should be as available in Demat Account in case of Demat Account holders)

Name of Sole / 1 st Applicant	Mr. / Ms. / M/s.	
Name of 2 nd Applicant	Mr. / Ms. / M/s.	
Name of 3 rd Applicant	Mr. / Ms. / M/s.	
Name of Guardian / Contact Person	Mr. / Ms. / M/s.	

Category (Please ✓) ☐ Retail Individual Investor(s) ☐ Non-Institutional Investor(s)

PAN No. Sole / 1st Applicant 2nd Applicant 3rd Applicant

Mode of Operation In Demat Account (Where there is more than one applicants) : ☐ Single OR ☐ Anyone or Survivor OR ☐ Joint

3 DEPOSITORY ACCOUNT DETAILS

Depository Name (Please ✓) ☐ National Securities Depository Ltd. ☐ Central Depository Services (India) Limits

Depository Participate Name	DP-ID
Beneficiary Account Number	

4 INVESTMENT DETAILS (Please ✓ where applicable)

Scheme : **Navi Nifty REITs & Realty Index Fund** Plan ☐ Regular ☐ Direct

Option ☐ Growth

In case of any ambiguity / incomplete intonation, the default plan / option / sub-option will be applicable as per the scheme's Key Information Memorandum, Scheme Information Document & Statement of Additional Information.

5 DETAILS OF BANK ACCOUNT FOR BLOCKING OF FUNDS

Bank Account Number	Bank Name
Bank Name where is held	
Total Amount to be blocked*(₹ in figure)	(in words)

6 UNDERTAKING BY ASBA INVESTOR

1) I/We hereby undertake that I/we am/are an ASBA Investor as per the applicable provisions of the SEBI (Issue of capital and Disclosure Requirements), Regulations 2009 (SEBI Regulations) as amended from time to time. 2) In accordance with ASBA process provided in the SEBI Regulations and as disclosed in this application, I/We authorize (a) the SCSB to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the 'SCSB/ASBA Account details' or unblocking of funds in the bank account maintained with the SCSB specified in this applicant transfer of funds to the Bank account of the Scheme/ Navi Nifty REITs & Realty Index Fund on receipt of instructions from the Registrar and Transfer Agent after the allotment of the Units entitling me/us to receive Units on such transfer of funds, etc. (b) Registrar and Transfer Agent to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the application, upon allotment of Units and to transfer the requisite money to the Scheme's account / Bank account of Navi Nifty REITs & Realty Index Fund 3) In case the amount available in the bank account specified in the application is insufficient for blocking the amount equivalent to the application money towards the Subscription of units, the SCSB shall reject the application 4) If the DP ID, Beneficiary Account No. or PAN furnished by me/us in the application is incorrect or incomplete or not matching with the depository records, the application shall be rejected and the Navi Nifty REITs & Realty Index Fund or SCSBs shall not be liable for losses, if any. I/We communication in connection with NFO should be addressed to the SCSB/R&TA/AMC quoting the full name of the Sole/First Applicant, NFO Application Number, ASBA Application Number, Depository Account details [if it has been provided], Amount applied for and the account number from where NFO amount was blocked.

Signature of the Applicant(s)

Sole / 1 st Applicant	2 nd Applicant	3 rd Applicant
Signature of Bank Account Holder(s) (to be signed as per holding pattern in the bank account)		
xx Sole / 1 st Account Holder as in Bank Records	xx 2 nd Account Holder as in Bank Records	xx 3 rd Account Holder as in Bank Records

Acknowledgment Slip (To be filled in by the investor)

Application No. _____

Received from Mr./Ms./M/s. An application for Scheme: Navi Nifty REITs & Realty Index Fund Cheque/DD No. : _____ Dated: Drawn on _____ Amount (Rs.) _____ Bank and Branch : _____ Please note : All Purchases are subject to realization of Cheques /DD.	Plan: _____ Option: _____ Collection Centre's Stamp & Receipt Date and Time
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Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Website: <https://navi.com/mutual-fund>

Toll free : 18002032131 Non Toll Free: +91 9535999572

mf@navi.com

Communication in connection with this application should be addressed to the Registrar name - Computer Age Management Services Limited (CAMS) Registrar Address - Rayala Tower-1, 158 Anna Salai, Chennai - 600 002

IMPORTANT INFORMATION & INSTRUCTIONS FOR ASBA MUTUAL FUND INVESTORS

Background : In its continuing endeavour to make the existing public issue process more efficient SEBI introduced a supplementary process of applying in public issues, viz: the "Applications Supported by Blocked Amount (ASBA)" process. Accordingly, Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009, as have been amended for ASBA process. in compliance with Clause 15.10 of SEBI Master Circular of Mutual Funds dated March 20, 2026. for "Additional mode of payment through Applications Supported by Blocked Amount (hereinafter referred to as "ASBA") are mentioned below for understanding the ASBA process

- 1. Meaning of ASBA:** ASBA is an application for subscribing to a New Fund Offer Application FORM (NFO), containing an authorisation to block the application money in a bank account.
- 2. Self Certified Syndicate Bank (SCSB):** SCSB is a banker to an issue registered with the SEBI which offers the facility of applying through the ASBA process. The list of SCSBs will be displayed by SEBI on its website at www.sebi.gov.in from time to time. ASBAs can be accepted only by SCSBs, whose names appear in the list of SCSBs displayed on SEBI's website. As on April 15, 2010, 27 Banks have been recognised as SCSBs. Investors maintaining their accounts in of any of these Banks may approach one of the designated branches of these SCSBs for availing this facility. Further it may be noted that from time to time new banks register themselves as SCSBs who become eligible to provide these services and also the existing SCSBs designate additional branches that also provide this facility. An updated list of all the registered SCSBs, their controlling branches, contact details and details of their contact persons, a list of their designated branches which are providing such services is available on the website of SEBI at the address <http://www.sebi.gov.in>. Further these details are also available on the websites of the Stock Exchanges at <http://www.bseindia.com> and <http://www.nseindia.com>. Alternatively, investors may also contact the AMC, R & TA for information about the SCSBs or the ASBA process. These SCSBs are deemed to have entered into an agreement with the Issuer and shall be required to offer the ASBA facility to all its account holders for all issues to which ASBA process is applicable. A SCSB shall identify its Designated Branches (DBs) at which an ASBA bidder shall submit ASBA and shall also identify the Controlling Branch (CB), which shall act as a coordinating branch for the Registrar to the Issue, Stock Exchanges and Merchant Bankers. The SCSB, its DBs and CB shall continue to act as such, for all issues to which ASBA process is applicable. The SCSB may identify new DBs for the purpose of ASBA process and intimate details of the same to SEBI, after which SEBI will add the DB to the list of SCSBs maintained by it. The SCSB shall communicate the following details to Stock Exchanges for making it available on their respective websites. These details shall also be made available by the SCSB on its website: (i) Name and address of the SCSB (ii) Addresses of DBs and CB and other details such as telephone number, fax number and email ids, (iii) Name and contact details of a nodal officer at a senior level from the CB.
- 3. Eligibility of Investors:** An Investor shall be eligible to apply through ASBA process, if he/she: (i) is a "Resident Retail Individual Investor, Non Institutional Investor, QIBs, Eligible NRIs applying on nonrepatriation basis, Eligible NRIs applying on repatriation basis i.e. any investor, (ii) is applying through blocking of funds in a bank account with the SCSB; Such investors are hereinafter referred as "ASBA Investors".
- 4. ASBA Facility in Brief:** Investor shall submit his Bid through an ASBA cum Application Form, either in physical or electronic mode, to the SCSB with whom the bank account of the ASBA Investor or bank account utilised by the ASBA Investor ("ASBA Account") is maintained. The SCSB shall block an amount equal to the NFO application Amount in the bank account specified in the ASBA cum Application

Form, physical or electronic, on the basis of an authorisation to this effect given by the account holder at the time of submitting the Application. The Bid Amount shall remain blocked in the aforesaid ASBA Account until the Allotment in the New fund Offer and consequent transfer of the Application Amount against the allocated Units to the Issuer's account designated for this purpose, or until withdrawal/failure of the Offer or until withdrawal/rejection of the ASBA Application, as the case may be. The ASBA data shall thereafter be uploaded by the SCSB in the electronic IPO system of the Stock Exchanges. Once the Allotment is finalised, the R&TA to the NFO shall send an appropriate request to the Controlling Branch of the SCSB for unblocking the relevant bank accounts and for transferring the amount allocable to the successful ASBA Bidders to the AMC account designated for this purpose. In case of withdrawal/ Rejection of the Offer, the R&TA to the Offer shall notify the SCSBs to unblock the blocked amount of the ASBA Bidders within one day from the day of receipt of such notification.

- 5. Obligations of the AMC:** AMC shall ensure that adequate arrangements are made by the R&TA for the NFO to obtain information about all ASBAs and to treat these applications similar to non-ASBA applications while allotment of Units, as per the procedure specified in the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009. Investors are requested to check with their respective banks about the availability of the ASBA facility.

Other Information for ASBA Investors:

- SCSB shall not accept any ASBA after the closing time of acceptance on the last day of the NFO period.
- SCSB shall give ASBA investors an acknowledgment for the receipt of ASBAs.
- SCSB shall not upload any ASBA in the electronic system of the Stock Exchange(s) unless (i) it has received the ASBA in a physical or electronic form; and (ii) it has blocked the application money in the bank account specified in the ASBA or has systems to ensure that Electronic ASBAs are accepted in the system only after blocking of application money in the relevant bank account opened with it.
- SCSB shall ensure that complaints of ASBA investors arising out of errors or delay in capturing of data, blocking or unblocking of bank accounts, etc. are satisfactorily redressed.
- SCSB shall be liable for all its omissions and commissions in discharging responsibilities in the ASBA process.

Grounds for rejection of ASBA applications

ASBA application forms can be rejected by the AMC/Registrar/ SCSBs, on the following technical grounds:

- Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane persons etc.
- Mode of ASBA i.e. either Physical ASBA or Electronic ASBA, not selected or ticked.
- ASBA Application Form without the stamp of the SCSB.
- Application by any person outside India if not in compliance with applicable foreign and Indian laws.
- Bank account details not given/incorrect details given.
- Duly certified Power of Attorney, if applicable, not submitted alongwith the ASBA application form.
- No corresponding records available with the Depositories matching the parameters namely (a) Names of the ASBA applicants (including the order of names of joint holders) (b) DP ID (c) Beneficiary account number or any other relevant details pertaining to the Depository Account.
- Insufficient funds in the investor's account.
- Application accepted by SCSB and not uploaded on/with the Exchange / Registrar.

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LIST OF NAVI AMC LIMITED OFFICES

BENGALURU : Vaishnavi Tech Square, 7th Floor, Iballur Village, Taluk, Bagalur, Begur, Hobli, Bengaluru, Karnataka 560102.

List of Point of Acceptances of Computer Age Management Services Limited (CAMS) Technologies Pvt. Ltd

• Ahmedabad 111 - 113, 1 st Floor - Devpath Building Off C G Road Behind Lal Bungalow, Ellis Bridge, Ahmedabad, Gujarat - 380006 • Bangalore Trade Centre, 1st Floor, 45, Dikensen Road (Next to Manipal Centre), Bangalore, Karnataka - 560042 • Bhubaneswar Plot No. 501 / 1741 / 1846, Office No. 203 (2nd Floor), Centre Point, Sriya Talkies Road, Kharvel Nagar, Unit-3, Bhubaneswar, Odisha - 751001 • Chandigarh Deepak Tower, SCO 154 - 155, 1st Floor - Sector 17 - Chandigarh, Punjab - 160017 • Chennai Ground Floor No. 178 / 10, Kodambakkam High Road, Opp. Hotel Palmgrove, Nungambakkam, Chennai, Tamilnadu - 600034 • Cochin Building Name Modayil, Door No. 39 / 2638, DJ, 2nd Floor, 2A, M.G. Road, Cochin - 682016 • Coimbatore No. 1334, Thadagam Road, Thirumurthy Layout, R.S. Puram, Behind Venketeswara Bakery, Coimbatore - 641002 • Durgapur Plot No.3601, Nazrul Sarani, City Centre, Durgapur - 713216 • Goa Office No. 103, 1st Floor, Unitech City Centre, M.G. Road, Panaji Goa, Goa - 403001 • Secunderabad (Hyderabad) 208, II Floor Jade Arcade Paradise Circle, Hyderabad, Telangana 500 003. • Indore 101, Shalimar Corporate Centre, 8 - B, South Tukogunj, Opp.Greenpark, Indore, Madhya Pradesh - 452001 • Jaipur R-7, Yudhisthir Marg C - Scheme, Behind Ashok Nagar Police Station, Jaipur, Rajasthan - 302001 • Kanpur First Floor 106 - 108 City Centre, Phase II, 63/ 2, The Mall, Kanpur, Uttarpradesh - 208001 • Kolkata 2/1, Russell Street, 2nd Floor, Kankaria Centre, Kolkata - 700071 • Lucknow Office No. 107, First Floor, Vaisali Arcade Building, Plot No 11, 6 Park Road, Lucknow - 226001 • Ludhiana U/ GF, Prince Market, Green Field, Near Traffic Lights, Sarabha Nagar Pulli, Pakhowal Road, Ludhiana, Punjab - 141002 • Madurai Shop No. 3, 2nd Floor Surya Towers, No. 272/273, Goodshed Street, Madurai - 625001 • Mangalore 14-6-674/15(1), Shop No -ug11-2, Maximus Complex, Light House Hill Road, Mangalore - 575001, Karnataka • Mumbai 30, Rajabhadur Compound, Opp. Indian Bank, Mumbai Samachar Marg, Fort, Mumbai, Maharashtra - 400023 • Nagpur 145, Lendra, New Ramdaspath, Nagpur, Maharashtra - 440010 • New Delhi CAMS Service Center, 401 to 404, 4th Floor, Kanchan Junga Building, Barakhamba Road, New Delhi - 110001 • Patna G-3, Ground Floor, OM Complex, Near Saket Tower, SP Verma Road, Patna, Bihar - 800001 • Pune Vartak Pride, 1st Floor, Survey No. 46, City Survey, No. 1477, Hingne budruk, D.P.Road, Behind Dinanath mangeshkar Hospital, Karvenagar, Pune - 411052 • Surat Shop No. G-5, International Commerce Center, Nr. Kadiwala School, Majura Gate, Ring Road, Surat - 395002 • Vadodara 103, Aries Complex, Bpc Road, Off R.C. Dutt Road, Alkapuri, Vadodara, Gujarat - 390007 • Vijayawada 40 - 1 - 68, Rao & Ratnam Complex, Near Chenupati Petrol Pump, M.G. Road, Labbipet, Vijayawada, Andhra Pradesh - 520010 • Visakhapatnam (Vizag) Flat No. GF2, D. No. 47 - 3 - 2 / 2, Vigneswara Plaza, 5th Lane, Dwarakanagar, Visakhapatnam, Andhra Pradesh - 530016 • Agra No. 8, II Floor Maruti Tower Sanjay Place, Agra, Uttarpradesh - 282002 • Ajmer AMC No. 423 / 30, Near ChurchOpp T B Hospital, Jaipur Road, Ajmer, Rajasthan - 305001 • Allahabad 30/2, A & B, Civil Lines Station Besides, Vishal Mega Mart Strachey Road, Allahabad, Uttarpradesh - 211001 • Alwar 256A, Scheme No. 1, Arya Nagar, Alwar, Rajasthan - 301001 • Amaravati 81, Gulsham Tower, 2nd Floor, Near Panchsheel Talkies, Amaravati, Maharashtra, 444601 • Amritsar 3rd Floor, Bearing Unit No. 313, Mukut House, Amritsar - 143001 • Anand 101, A.P. Tower, B / H, Sardhar Gunj, Next to Nathwani Chambers, Anand, Gujarat - 388001 • Asansol Block - G, First Floor, P C Chatterjee Market Complex, Rambandhu Talab PO, Ushagram Asansol, West Bengal - 713303 • Aurangabad 2nd Floor, Block No. D - 21 - D - 22, Motiwala Trade Centre, Nirala Bazar, New Samarth Nagar, Opp. HDFC Bank, Aurangabad - 431001 • Belgaum Classic Complex, Block No. 104, First Floor, Saraf Colony, Khanapur Road, Tilakwadi, Belgaum - 590006 • Berhampur Kalika temple Street, Ground Floor, Beside SBI BAZAR Branch, Berhampur - 760002 • Bhavnagar 501 - 503, Bhayani Skyline, Behind Joggers Park, Atabhai Road, Bhavnagar - 364001 • Bhilai First Floor, Plot No.3, Block No.1, Priyadarshini Pariswar west, Behind IDBI Bank, Nehru Nagar, Bhilai - 490020 • Bhillwara C/o. Kodwani Associates, Shope No. 211 - 213 2nd floor, Indra Prasth Tower syam Ki Sabji Mandi, Near Mukerjee Garden, Bhilwara, Rajasthan - 311001 • Bhopal Plot no 10, 2nd Floor, Alankar Complex, Near ICICI Bank, MP Nagar, Zone II, Bhopal, Madhya Pradesh - 462011 • Bokaro 1st Floor, Plot No. HE-7 City Centre, Sector 4, Bokaro Steel City, Bokaro, Jharkhand - 827004 • Burdwan 399, G T Road, Basement, Building Name - Talk of the Town, Burdwan, West Bengal - 713101 • Calicut 29 / 97G, 2nd Floor, S A Arcade, Mavoor Road, Arayidathupalam, Calicut, Kerala - 673016 • Cuttack Near Indian Overseas Bank, Cantonment Road, Mata Math, Cuttack, Orissa - 753001 • Davangere 13, First Floor, Akkamahadevi Samaj Complex, Church Road, P. J. Extension, Davangere, Karnataka - 577002 • Dehradun 204 / 121, Nari Shilp Mandir Marg, First Floor, Old Connaught Place, Chakrata Road, Dehradun, Uttarakhand, 248001 • Dhanbad Urmila Towers, Room No. 111 First Floor, Bank More, Dhanbad, Jharkhand - 826001 • Erode 197, Seshaiyer Complex, Agraharam Street, Erode, Tamilnadu - 638001 • Faridabad LG3, SCO 12 Sector 16, Behind Canara Bank, Faridabad - 121002 • Ghaziabad 1st Floor, C - 10, RDC Rajnagar, Opp Kacheri, Gate No. 2, Ghaziabad - 201002 • Gorakhpur Shop No. 5 & 6, Third Floor, Cross Road, The mall, A D Tiraha, Bank Road, Gorakhpur - 273001 • Guntur Door No. 31 - 13 - 1158, First Floor, 13 / 1, Arundelpet, Ward No. 6, Guntur - 522002 • Gurgaon Unit No. - 115, First Floor Vipul Agora Building, Sector - 28, Near Sahara Mall, Mehrauli, Gurgaon Road, Chakkarpur, Gurgaon - 122001 • Guwahati Piyali Phukan Road, K. C. Path, House No. 1, Rehabari, Guwahati - 781008 • Gwalior G - 6, Global Apartment, Kailash Vihar Colony, Opp. Income Tax Office, City Centre, Gwalior, Madhya Pradesh - 474002 • Hubli No. 204 - 205, First Floor, B - Block, Kundagol Complex, Opp. Court, Club Road, Hubli, Karnataka - 580029 • Jabalpur 8, Ground Floor, Datt Towers, Behind Commercial Automobiles, Napier Town, Jabalpur, Madhya Pradesh - 482001 • Jalandhar 144, Vijay Nagar, Near Capital Small Finance Bank, Football Chowk, Jalandar City, Punjab - 144001 • Jalgaon Rustomji Infotech Services 70, Navipeth, Opp. Old Bus Stand, Jalgaon, Maharashtra - 425001 • Jamnagar 207, Manek Centre, P N Marg, Jamnagar, Gujarat - 361001 • Jamshedpur Tee Kay Corporate Towers, 3rd Floor, S B Shop Area, Main Road, Bistupur, Jamshedpur-831001 • Jodhpur 1/5, Nirmal Tower, 1st Chopasani Road, Jodhpur, Rajasthan - 342003 • Kolhapur 2 B, 3rd Floor, Ayodhya Towers, Station Road, Kolhapur, Maharashtra - 416001 • Kota B-33, Kalyan Bhawan, Near Triangle Park, Vallabh Nagar, Kota, Rajasthan - 324007 • Kottayam 1307 B, Puthenparambil Building, KSACS Road, Opp. ESIC Office, Behind Malayala Manorama Muttambalam - P O, Kottayam - 686501 • Meerut 108, First Floor, Shivam Plaza, Opp. Eves Cinema, Hapur Road, Meerut, Uttarpradesh - 250002 • Moradabad H 21 - 22, First Floor, Ram Ganga Vihar Shopping Complex, Opposite Sale Tax Office, Moradabad - 244001 • Muzaffarpur Brahman Toli, Durgasthan Gola Road, Muzaffarpur, Bihar - 842001 • Mysore No. 1, First Floor, CH. 26 7th Main, 5th Cross (Above Trishakthi Medicals), Saraswati Puram, Mysore, Karnataka, - 570009 • Nasik First Floor, "Shrad-

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Website: <https://navi.com/mutual-fund>

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List of Point of Acceptances of Computer Age Management Services Limited (CAMS) Technologies Pvt. Ltd

Ground Floor, Anand Plaza Complex, Bharat Nagar, Shivaji Putla Road, Jalna, Maharashtra - 431203 • Ghatkopar Platinum Mall, Office No. 307, Third Floor, Jawahar Road, Ghatkopar East, Mumbai - 400077 • Borivali 501 – TIARA, CTS 617, 617 / 1 - 4, Off Chandavarkar Lane, Maharashtra Nagar, Borivali – West, Mumbai – 400092 • Vashi BSEL Tech Park, B - 505, Plot No. 39 / 5 & 39 / 5 A, Sector 30A, Opp.Vashi Railway Stationm Vashi, Navi Mumbai - 400705 • Pitampura Number G - 8, Ground Floor, Plot No. C - 9, Pearls Best Height - II, Netaji Subhash Place, Pitampura, New Delhi – 110034 • Tambaram Third Floor, B R Complex, No. 66, Door No. 11 A, Ramakrishna Iyer Street, Opp. National Cinema Theatre, West Tambaram, Chennai - 600045 • Janakpuri Office Number 112, First Floor, Mahatta Tower, B Block Community Centre, Janakpuri, New Delhi -110058 • Bangalore(Wilson Garden) First Floor, No. 17 / 1, (272) Tweleth Cross Road, Wilson Garden, Bangalore - 560027 • Karnal No. 29, Avtar Colony, Behind vishal mega mart, Karnal - 132001 • Kalyan Office No. 413, 414, 415, Fourth Floor, Seasons Business Centre, Opp. KDMC (Kalyan Dombivli Municipal Corporation), Shivaji Chowk, Kalyan (W) – 21301 • Bharuch A - 111, First Floor, R K Casta, Behind Patel Super Market, Station Road, Bharuch - 392001 • Nadiad F 142, First Floor, Ghantakarna Complex Gunj Bazar, Nadiad, Gujarat - 387001 • Ahmednagar No. 3. First Floor, Shree Parvati, Plot No. 1 / 175, Opp. Mauli Sabhagruh, Zopadi Canteen, Savedi, Ahmednagar - 414003 • Basti C/O. Rajesh Mahadev & Co., Shop No. 3, First Floor, Jamia Complex Station Road, Basti - 272002 • Chhindwara Second Floor, Parasias Road, Near Surya Lodge, Sood Complex, Above Nagpur CT Scan, Chhindwara, Madhya Pradesh - 480001 • Chittorgarh 3, Ashok Nagar, Near Heera Vatika, Chittorgarh, Rajasthan - 312001 • Darbhanga Ground Floor, Belbhadrapur, Near Sahara Office, Laheriasarai Tower Chowk, Laheriasarai, Darbhanga - 846001 • Dharmapuri 16 A / 63 A, Pidamaneri Road, Near Indoor Stadium, Dharmapuri, Tamilnadu - 636701 • Dhule 1793/A, J B Road, Near Tower Garden, Dhule - 424001 • Faizabad 9/1/51, Rishi Tola Fatehganj, Ayodhya, Faizabad, Uttar Pradesh-224001 • Gandhidham Shyam Sadan, First Floor, Plot No. 120, Sector 1 / A, Gandhidham - 370201 • Gulbarga Pal Complex, First Floor, Opp. City Bus Stop, Super Market, Gulbarga, Karnataka - 585101 • Haldia Mouza - Basudevapur, J. L. No. 126, Haldia Municipality, Ward No. 10, Durgachak, Haldia - 721602 • Haldwani Durga City Centre, Nainital Road, Haldwani, Uttarakhand - 263139 • Himatnagar Unit No. 326, Third Floor, One World - 1, Block - A, Himmatnagar - 383001 • Hoshiarpur Near Archies Gallery, Shimla Pahari Chowk, Hoshiarpur, Punjab - 146001 • Hosur Survey No. 25 / 204, Attibele Road, HCF Post, Mathigiri, Above Time Kids School, Oppsite To Kuttys Frozen Foods, Hosur - 635110 • Jaunpur 248, Fort Road Near Amber Hotel, Jaunpur Uttarpradesh - 222001 • Katni First Floor, Gurunanak dharmakanta, Jabalpur Road, Bargawan, Katni, Madhya Pradesh - 483501 • Khammam Shop No. 11 - 2 - 31 / 3, First Floor, Philips Complex, Balajinagar, Wyra Road, Near Baburao Petrol Bunk, Khammam, Telangana - 507001 • Malda Daxhinapan Abasan, Opp Lane of Hotel Kalinga, SM Pally, Malda, West bengal - 732101 • Manipal Shop No. A2, Basement Floor, Academy Tower, Opposite Corporation Bank, Manipal, Karnataka - 576104 • Mathura 159 / 160 Vikas Bazar Mathura Uttarpradesh - 281001 • Moga Street No 8-9 Center, Aarya Samaj Road, Near Ice Factory, Moga -142 001 • Namakkal 156A / 1, First Floor, Lakshmi Vilas Building, Opp. To District Registrar Office, Trichy Road, Namakkal, Tamilnadu - 637001 • Palanpur Gopal Trade center, Shop No. 13 - 14, Third Floor, Nr. BK Mercantile bank, Opp. Old Gunj, Palanpur - 385001 • Rae Bareilly 17, Anand Nagar Complex, Opposite Moti Lal Nehru Stadium, SAI Hostel Jail Road, Rae Bareilly, Uttar pradesh - 229001 • Rajapalayam No. 59 A / 1, Railway Feeder Road, (Near Railway Station), Rajapalayam, Tamilnadu - 626117 • Ratlam Dafria & Co., No. 18, Ram Bagh, Near Scholar's School, Ratlam, Madhya Pradesh - 457001 • Ratnagiri Orchid Tower, Ground Floor, Gala No. 06, S. V. No. 301 / Paiki, 1 / 2, Nachane Municipality Aat, Arogya Mandir, Nachane Link Road, At, Post, Tal. atnagiri Dist. Ratnagiri - 415612 • Roorkee 22, Civil Lines, Ground Floor, Hotel Krish Residency, Roorkee, Uttara khand - 247667 • Sagar Opp. Somani Automobile, S Bhagwanganj Sagar, Madhya Pradesh - 470002 • Shahjahanpur Bijlipura, Near Old Distt Hospital, Jail Road, Shahjahanpur Uttarpradesh - 242001 • Sirsa Ground Floor of CA Deepak Gupta, M G Complex, Bhawna Marg, Beside Over Bridge, Bansal Cinerma Market, Sirsa Haryana - 125055 • Sitapur Arya Nagar, Near Arya Kanya School, Sitapur, Uttarpradesh - 261001 • Solan First Floor, Above Sharma General Store, Near Sanki Rest house, The Mall, Solan, Himachal Pradesh - 173212 • Srikakulam Door No 10-5-65, 1st Floor, Dhanwanthri Complex, Kalinga Road, Opp Chandramouli Departmental Store, Near Seven roads Junction, Srikakulam – 32 001 • Sultanpur 967, Civil Lines, Near Pant Stadium, Sultanpur, Uttarpradesh - 228001 • Surendranagar Shop No. 12, M. D. Residency, Swastik Cross Road, Surendranagar - 363001 • Tinsukia Bangiya Vidyalaya Road, Near Old post office, Durgabari, Tinsukia, Assam - 786.125 • Tuticorin 4 B / A 16, Mangal Mall Complex, Ground Floor, Mani Nagar, Tuticorin, Tamilnadu - 628003 • Ujjain Adjacent to our existing Office at 109, First Floor, Siddhi Vinayak Trade Center, Shahid Park, Ujjain - 456010 • Yavatmal Pushpam, Tilakwadi, Opp. Dr. Shrotri Hospital, Yavatmal, Maharashtra, 445001 • Kukatpally No. 15 - 31 - 2 M - 1 / 4, First Floor, 14 - A, MIG, KPHB Colony, Kukatpally, Hyderabad - 500072 • Mapusa (Parent ISC : Goa) Office No. 503, Buildmore Business Park, New Canca By pass Road, Ximer, Mapusa Goa - 403507 • Bhusawal (Parent: Jalgaon TP) 3, Adelaide Apartment, Christain Mohala, Behind Gulshan - E - Iran Hotel, Amardeep Talkies Road, Bhusawal, Maharashtra - 425201 • Gondal (Parent Rajkot) A / 177, Kailash Complex, Opp. Khedut Decor Gondal, Gujarat, 360311 • Vasco(Parent Goa) No. DU 8, Upper Ground Floor, Behind Techoclean Clinic, Suvidha Complex Near ICICI Bank, Vasco, Goa - 403802 • Kolkata-CC (Kolkata Central) 3 / 1, R. N. Mukherjee Road, Third Floor, Office space - 3 C, "Shreeram Chambers", Kolkata - 700001 • Chennai-Satelite ISC No. 158, Rayala Tower - 1, Anna Salai, Chennai - 600002

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.