

**DUE DILIGENCE BY THE ASSET MANAGEMENT COMPANY**

<b>Sr. No.</b>	<b>Fund name</b>
1	Navi Nifty 50 Index Fund
2	Navi Nifty Next 50 Index Fund
3	Navi Nifty Bank Index Fund
4	Navi Nifty Midcap 150 Index Fund
5	Navi Nifty India Manufacturing Index Fund
6	Navi ELSS Tax Saver Nifty 50 Index Fund
7	Navi BSE Sensex Index Fund
8	Navi Nifty IT Index Fund
9	Navi Nifty 500 Multicap 50:25:25 Index Fund
10	Navi Nifty Smallcap250 Momentum Quality 100 Index Fund
11	Navi US Total Stock Market Fund of Fund
12	Navi Nasdaq 100 Fund of Fund

## DUE DILIGENCE BY THE ASSET MANAGEMENT COMPANY

It is confirmed that:

- (i) The Scheme Information Document submitted to SEBI is in accordance with the SEBI (Mutual Funds) Regulations, 1996 and the guidelines and directives issued by SEBI from time to time.
- (ii) All legal requirements connected with the launching of the Scheme as also the guidelines, instructions, etc., issued by the Government and any other competent authority in this behalf, have been duly complied with.
- (iii) The disclosures made in the Scheme Information Document are true, fair and adequate to enable the investors to make a well informed decision regarding investment in the Scheme.
- (iv) The intermediaries named in the Scheme Information Document and Statement of Additional Information are registered with SEBI and their registration is valid, as on date.
- (v) The contents of the Scheme Information Document including figures, data, yields etc. have been checked and are factually correct.
- (vi) A confirmation that the AMC has complied with the compliance checklist applicable for Scheme Information Documents and other than cited deviations/ that there are no deviations from the regulations.
- (vii) Notwithstanding anything contained in this Scheme Information Document, the provisions of the SEBI (Mutual Funds) Regulations, 1996 and the guidelines there under shall be applicable.
- (viii) The Trustees have ensured that the **Navi Nifty 50 Index Fund** approved by them is a new product offered by **Navi Mutual Fund** and is not a minor modification of any existing Fund.

**Date:** May 28, 2025

**Sd/-**

**Place:** Bengaluru

**Compliance Officer**

### **DUE DILIGENCE BY THE ASSET MANAGEMENT COMPANY**

It is confirmed that:

- (ix) The Scheme Information Document submitted to SEBI is in accordance with the SEBI (Mutual Funds) Regulations, 1996 and the guidelines and directives issued by SEBI from time to time.
- (x) All legal requirements connected with the launching of the Scheme as also the guidelines, instructions, etc., issued by the Government and any other competent authority in this behalf, have been duly complied with.
- (xi) The disclosures made in the Scheme Information Document are true, fair and adequate to enable the investors to make a well informed decision regarding investment in the Scheme.
- (xii) The intermediaries named in the Scheme Information Document and Statement of Additional Information are registered with SEBI and their registration is valid, as on date.
- (xiii) The contents of the Scheme Information Document including figures, data, yields etc. have been checked and are factually correct.
- (xiv) A confirmation that the AMC has complied with the compliance checklist applicable for Scheme Information Documents and other than cited deviations/ that there are no deviations from the regulations.
- (xv) Notwithstanding anything contained in this Scheme Information Document, the provisions of the SEBI (Mutual Funds) Regulations, 1996 and the guidelines there under shall be applicable.
- (xvi) The Trustees have ensured that the **Navi Nifty 50 Index Fund** approved by them is a new product offered by **Navi Mutual Fund** and is not a minor modification of any existing Fund.

**Date:** May 28, 2025

**Sd/-**

**Place:** Bengaluru

**Compliance Officer**

## DUE DILIGENCE BY THE ASSET MANAGEMENT COMPANY

It is confirmed that:

- (xvii) The Scheme Information Document submitted to SEBI is in accordance with the SEBI (Mutual Funds) Regulations, 1996 and the guidelines and directives issued by SEBI from time to time.
- (xviii) All legal requirements connected with the launching of the Scheme as also the guidelines, instructions, etc., issued by the Government and any other competent authority in this behalf, have been duly complied with.
- (xix) The disclosures made in the Scheme Information Document are true, fair and adequate to enable the investors to make a well informed decision regarding investment in the Scheme.
- (xx) The intermediaries named in the Scheme Information Document and Statement of Additional Information are registered with SEBI and their registration is valid, as on date.
- (xxi) The contents of the Scheme Information Document including figures, data, yields etc. have been checked and are factually correct.
- (xxii) A confirmation that the AMC has complied with the compliance checklist applicable for Scheme Information Documents and other than cited deviations/ that there are no deviations from the regulations.
- (xxiii) Notwithstanding anything contained in this Scheme Information Document, the provisions of the SEBI (Mutual Funds) Regulations, 1996 and the guidelines there under shall be applicable.
- (xxiv) The Trustees have ensured that the **Navi Nifty Next 50 Index Fund** approved by them is a new product offered by **Navi Mutual Fund** and is not a minor modification of any existing Fund.

**Date:** May 28, 2025

**Sd/-**

**Place:** Bengaluru

**Compliance Officer**

## DUE DILIGENCE BY THE ASSET MANAGEMENT COMPANY

It is confirmed that:

- (xxv) The Scheme Information Document submitted to SEBI is in accordance with the SEBI (Mutual Funds) Regulations, 1996 and the guidelines and directives issued by SEBI from time to time.
- (xxvi) All legal requirements connected with the launching of the Scheme as also the guidelines, instructions, etc., issued by the Government and any other competent authority in this behalf, have been duly complied with.
- (xxvii) The disclosures made in the Scheme Information Document are true, fair and adequate to enable the investors to make a well informed decision regarding investment in the Scheme.
- (xxviii) The intermediaries named in the Scheme Information Document and Statement of Additional Information are registered with SEBI and their registration is valid, as on date.
- (xxix) The contents of the Scheme Information Document including figures, data, yields etc. have been checked and are factually correct.
- (xxx) A confirmation that the AMC has complied with the compliance checklist applicable for Scheme Information Documents and other than cited deviations/ that there are no deviations from the regulations.
- (xxxi) Notwithstanding anything contained in this Scheme Information Document, the provisions of the SEBI (Mutual Funds) Regulations, 1996 and the guidelines there under shall be applicable.
- (xxxii) The Trustees have ensured that the **Navi Nifty Bank Index Fund** approved by them is a new product offered by **Navi Mutual Fund** and is not a minor modification of any existing Fund.

**Date:** May 28, 2025

**Sd/-**

**Place:** Bengaluru

**Compliance Officer**

## DUE DILIGENCE BY THE ASSET MANAGEMENT COMPANY

It is confirmed that:

- (xxxiii) The Scheme Information Document submitted to SEBI is in accordance with the SEBI (Mutual Funds) Regulations, 1996 and the guidelines and directives issued by SEBI from time to time.
- (xxxiv) All legal requirements connected with the launching of the Scheme as also the guidelines, instructions, etc., issued by the Government and any other competent authority in this behalf, have been duly complied with.
- (xxxv) The disclosures made in the Scheme Information Document are true, fair and adequate to enable the investors to make a well informed decision regarding investment in the Scheme.
- (xxxvi) The intermediaries named in the Scheme Information Document and Statement of Additional Information are registered with SEBI and their registration is valid, as on date.
- (xxxvii) The contents of the Scheme Information Document including figures, data, yields etc. have been checked and are factually correct.
- (xxxviii) A confirmation that the AMC has complied with the compliance checklist applicable for Scheme Information Documents and other than cited deviations/ that there are no deviations from the regulations.
- (xxxix) Notwithstanding anything contained in this Scheme Information Document, the provisions of the SEBI (Mutual Funds) Regulations, 1996 and the guidelines there under shall be applicable.
- (xl) The Trustees have ensured that the **Navi Nifty Midcap 150 Index Fund** approved by them is a new product offered by **Navi Mutual Fund** and is not a minor modification of any existing Fund.

**Date:** May 28, 2025

**Sd/-**

**Place:** Bengaluru

**Compliance Officer**

## DUE DILIGENCE BY THE ASSET MANAGEMENT COMPANY

It is confirmed that:

- (xli) The Scheme Information Document submitted to SEBI is in accordance with the SEBI (Mutual Funds) Regulations, 1996 and the guidelines and directives issued by SEBI from time to time.
- (xlii) All legal requirements connected with the launching of the Scheme as also the guidelines, instructions, etc., issued by the Government and any other competent authority in this behalf, have been duly complied with.
- (xliii) The disclosures made in the Scheme Information Document are true, fair and adequate to enable the investors to make a well informed decision regarding investment in the Scheme.
- (xliv) The intermediaries named in the Scheme Information Document and Statement of Additional Information are registered with SEBI and their registration is valid, as on date.
- (xlv) The contents of the Scheme Information Document including figures, data, yields etc. have been checked and are factually correct.
- (xlvi) A confirmation that the AMC has complied with the compliance checklist applicable for Scheme Information Documents and other than cited deviations/ that there are no deviations from the regulations.
- (xlvii) Notwithstanding anything contained in this Scheme Information Document, the provisions of the SEBI (Mutual Funds) Regulations, 1996 and the guidelines there under shall be applicable.
- (xlviii) The Trustees have ensured that the **Navi Nifty India Manufacturing Index Fund** approved by them is a new product offered by **Navi Mutual Fund** and is not a minor modification of any existing Fund.

**Date:** May 28, 2025

**Sd/-**

**Place:** Bengaluru

**Compliance Officer**

## DUE DILIGENCE BY THE ASSET MANAGEMENT COMPANY

It is confirmed that:

- (xlix) The Scheme Information Document submitted to SEBI is in accordance with the SEBI (Mutual Funds) Regulations, 1996 and the guidelines and directives issued by SEBI from time to time.
- (l) All legal requirements connected with the launching of the Scheme as also the guidelines, instructions, etc., issued by the Government and any other competent authority in this behalf, have been duly complied with.
- (li) The disclosures made in the Scheme Information Document are true, fair and adequate to enable the investors to make a well informed decision regarding investment in the Scheme.
- (lii) The intermediaries named in the Scheme Information Document and Statement of Additional Information are registered with SEBI and their registration is valid, as on date.
- (liii) The contents of the Scheme Information Document including figures, data, yields etc. have been checked and are factually correct.
- (liv) A confirmation that the AMC has complied with the compliance checklist applicable for Scheme Information Documents and other than cited deviations/ that there are no deviations from the regulations.
- (lv) Notwithstanding anything contained in this Scheme Information Document, the provisions of the SEBI (Mutual Funds) Regulations, 1996 and the guidelines there under shall be applicable.
- (lvi) The Trustees have ensured that the **Navi ELSS Tax Saver Nifty 50 Index Fund** approved by them is a new product offered by **Navi Mutual Fund** and is not a minor modification of any existing Fund.

**Date:** May 28, 2025

**Sd/-**

**Place:** Bengaluru

**Compliance Officer**



## DUE DILIGENCE BY THE ASSET MANAGEMENT COMPANY

It is confirmed that:

- (Ivii) The Scheme Information Document submitted to SEBI is in accordance with the SEBI (Mutual Funds) Regulations, 1996 and the guidelines and directives issued by SEBI from time to time.
- (Iviii) All legal requirements connected with the launching of the Scheme as also the guidelines, instructions, etc., issued by the Government and any other competent authority in this behalf, have been duly complied with.
- (lix) The disclosures made in the Scheme Information Document are true, fair and adequate to enable the investors to make a well informed decision regarding investment in the Scheme.
- (lx) The intermediaries named in the Scheme Information Document and Statement of Additional Information are registered with SEBI and their registration is valid, as on date.
- (lxi) The contents of the Scheme Information Document including figures, data, yields etc. have been checked and are factually correct.
- (lxii) A confirmation that the AMC has complied with the compliance checklist applicable for Scheme Information Documents and other than cited deviations/ that there are no deviations from the regulations.
- (lxiii) Notwithstanding anything contained in this Scheme Information Document, the provisions of the SEBI (Mutual Funds) Regulations, 1996 and the guidelines there under shall be applicable.
- (lxiv) The Trustees have ensured that the **Navi BSE Sensex Index Fund** approved by them is a new product offered by **Navi Mutual Fund** and is not a minor modification of any existing Fund.

**Date:** May 28, 2025

**Sd/-**

**Place:** Bengaluru

**Compliance Officer**

## DUE DILIGENCE BY THE ASSET MANAGEMENT COMPANY

It is confirmed that:

- (I xv) The Scheme Information Document submitted to SEBI is in accordance with the SEBI (Mutual Funds) Regulations, 1996 and the guidelines and directives issued by SEBI from time to time.
- (I xvi) All legal requirements connected with the launching of the Scheme as also the guidelines, instructions, etc., issued by the Government and any other competent authority in this behalf, have been duly complied with.
- (I xvii) The disclosures made in the Scheme Information Document are true, fair and adequate to enable the investors to make a well informed decision regarding investment in the Scheme.
- (I xviii) The intermediaries named in the Scheme Information Document and Statement of Additional Information are registered with SEBI and their registration is valid, as on date.
- (I xix) The contents of the Scheme Information Document including figures, data, yields etc. have been checked and are factually correct.
- (I xx) A confirmation that the AMC has complied with the compliance checklist applicable for Scheme Information Documents and other than cited deviations/ that there are no deviations from the regulations.
- (I xxi) Notwithstanding anything contained in this Scheme Information Document, the provisions of the SEBI (Mutual Funds) Regulations, 1996 and the guidelines there under shall be applicable.
- (I xxii) The Trustees have ensured that the **Navi Nifty IT Index Fund** approved by them is a new product offered by **Navi Mutual Fund** and is not a minor modification of any existing Fund.

**Date:** May 28, 2025

**Sd/-**

**Place:** Bengaluru

**Compliance Officer**

## DUE DILIGENCE BY THE ASSET MANAGEMENT COMPANY

It is confirmed that:

- (lxxiii) The Scheme Information Document submitted to SEBI is in accordance with the SEBI (Mutual Funds) Regulations, 1996 and the guidelines and directives issued by SEBI from time to time.
- (lxxiv) All legal requirements connected with the launching of the Scheme as also the guidelines, instructions, etc., issued by the Government and any other competent authority in this behalf, have been duly complied with.
- (lxxv) The disclosures made in the Scheme Information Document are true, fair and adequate to enable the investors to make a well informed decision regarding investment in the Scheme.
- (lxxvi) The intermediaries named in the Scheme Information Document and Statement of Additional Information are registered with SEBI and their registration is valid, as on date.
- (lxxvii) The contents of the Scheme Information Document including figures, data, yields etc. have been checked and are factually correct.
- (lxxviii) A confirmation that the AMC has complied with the compliance checklist applicable for Scheme Information Documents and other than cited deviations/ that there are no deviations from the regulations.
- (lxxix) Notwithstanding anything contained in this Scheme Information Document, the provisions of the SEBI (Mutual Funds) Regulations, 1996 and the guidelines there under shall be applicable.
- (lxxx) The Trustees have ensured that the **Navi Nifty 500 Multicap 50:25:25 Index Fund** approved by them is a new product offered by **Navi Mutual Fund** and is not a minor modification of any existing Fund.

**Date:** May 28, 2025

**Sd/-**

**Place:** Bengaluru

**Compliance Officer**

## DUE DILIGENCE BY THE ASSET MANAGEMENT COMPANY

It is confirmed that:

- (lxxxi) The Scheme Information Document submitted to SEBI is in accordance with the SEBI (Mutual Funds) Regulations, 1996 and the guidelines and directives issued by SEBI from time to time.
- (lxxxii) All legal requirements connected with the launching of the Scheme as also the guidelines, instructions, etc., issued by the Government and any other competent authority in this behalf, have been duly complied with.
- (lxxxiii) The disclosures made in the Scheme Information Document are true, fair and adequate to enable the investors to make a well informed decision regarding investment in the Scheme.
- (lxxxiv) The intermediaries named in the Scheme Information Document and Statement of Additional Information are registered with SEBI and their registration is valid, as on date.
- (lxxxv) The contents of the Scheme Information Document including figures, data, yields etc. have been checked and are factually correct.
- (lxxxvi) A confirmation that the AMC has complied with the compliance checklist applicable for Scheme Information Documents and other than cited deviations/ that there are no deviations from the regulations.
- (lxxxvii) Notwithstanding anything contained in this Scheme Information Document, the provisions of the SEBI (Mutual Funds) Regulations, 1996 and the guidelines there under shall be applicable.
- (lxxxviii) The Trustees have ensured that the **Navi Nifty Smallcap250 Momentum Quality 100 Fund** approved by them is a new product offered by **Navi Mutual Fund** and is not a minor modification of any existing Fund.

**Date:** May 28, 2025

**Sd/-**

**Place:** Bengaluru

**Compliance Officer**

## DUE DILIGENCE BY THE ASSET MANAGEMENT COMPANY

It is confirmed that:

- (lxxxix) The Scheme Information Document submitted to SEBI is in accordance with the SEBI (Mutual Funds) Regulations, 1996 and the guidelines and directives issued by SEBI from time to time.
- (xc) All legal requirements connected with the launching of the Scheme as also the guidelines, instructions, etc., issued by the Government and any other competent authority in this behalf, have been duly complied with.
- (xci) The disclosures made in the Scheme Information Document are true, fair and adequate to enable the investors to make a well informed decision regarding investment in the Scheme.
- (xcii) The intermediaries named in the Scheme Information Document and Statement of Additional Information are registered with SEBI and their registration is valid, as on date.
- (xciii) The contents of the Scheme Information Document including figures, data, yields etc. have been checked and are factually correct.
- (xciv) A confirmation that the AMC has complied with the compliance checklist applicable for Scheme Information Documents and other than cited deviations/ that there are no deviations from the regulations.
- (xcv) Notwithstanding anything contained in this Scheme Information Document, the provisions of the SEBI (Mutual Funds) Regulations, 1996 and the guidelines there under shall be applicable.
- (xcvi) The Trustees have ensured that the **Navi US Total Stock Market Fund of Fund** approved by them is a new product offered by **Navi Mutual Fund** and is not a minor modification of any existing Fund.

**Date:** May 28, 2025

**Sd/-**

**Place:** Bengaluru

**Compliance Officer**

## DUE DILIGENCE BY THE ASSET MANAGEMENT COMPANY

It is confirmed that:

- (xcvii) The Scheme Information Document submitted to SEBI is in accordance with the SEBI (Mutual Funds) Regulations, 1996 and the guidelines and directives issued by SEBI from time to time.
- (xcviii) All legal requirements connected with the launching of the Scheme as also the guidelines, instructions, etc., issued by the Government and any other competent authority in this behalf, have been duly complied with.
- (xcix) The disclosures made in the Scheme Information Document are true, fair and adequate to enable the investors to make a well informed decision regarding investment in the Scheme.
- (c) The intermediaries named in the Scheme Information Document and Statement of Additional Information are registered with SEBI and their registration is valid, as on date.
- (ci) The contents of the Scheme Information Document including figures, data, yields etc. have been checked and are factually correct.
- (cii) A confirmation that the AMC has complied with the compliance checklist applicable for Scheme Information Documents and other than cited deviations/ that there are no deviations from the regulations.
- (ciii) Notwithstanding anything contained in this Scheme Information Document, the provisions of the SEBI (Mutual Funds) Regulations, 1996 and the guidelines there under shall be applicable.
- (civ) The Trustees have ensured that the **Navi Nasdaq 100 Fund of Fund** approved by them is a new product offered by **Navi Mutual Fund** and is not a minor modification of any existing Fund.

**Date:** May 28, 2025

**Sd/-**

**Place:** Bengaluru

**Compliance Officer**