Template for disclosing actions taken against MFDs related to misconduct by AMCs on their website	
Particulars	**Inputs to be filled by AMC**
1. Name of the Mutual Fund	Navi AMC Ltd.
2. ARN of the MFD	NA
3. Name of the MFD	NA
4. Name of the employee of the MFD involved	NA
5. EUIN of the employee of the MFD involved	NA
6. Source of the complaint (to be selected from drop down menu)	1) AMC's Internal Monitoring & Surveillance mechanism 2) Unitholder 3) Unitholder's relative / representative 4) SEBI 5) AMFI 6) Another ARN holder 7) Social Media 8) Newspaper 9) Others (Please specify)
7. Nature of Mis-Selling observed by the AMC (to be selected from drop down menu)	1) Fraud / Forgery / Cheating 2) Misappropriation of investor's Funds 3) Misrepresentation of Scheme Features 4) Inadequate Risk Disclosure 5) Incorrect Suitability Assessment 6) Unauthorized Switch Transaction 7) Unauthorized Redemption Transaction 8) Unauthorized Change of ARN Code 9) Non-Disclosure of Charges & Lock-In Period 10) False Assurances / Guaranteed Returns Claim 11) Churning (Excessive Switching for Higher Commissions) 12) Using non-approved marketing material/ scheme performance disclosure 13) Others (Please specify)
8. Summary of the incident	No Such Incidence reported from 1st July 2026 till 30th September 2026
9. Amount of investment Involved in the incident	NA
10. Corrective Action taken by the AMC prevent recurrence	NA
11. Code of Conduct Violation (other than mis-selling) if any	<<Details / description of Code of Conduct Violation>>
12. Action taken by the AMC against the errant MFD	NA